



# Technical University Berlin Telecommunication Networks Group

# Protocol Specification of the MOMBASA Software Environment

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# **Chapter 1**

## Introduction

<sup>1</sup> In general IP multicast supports location—independent addressing and routing. This ability of multicast is similar to the requirements of mobility support in IP—based networks, though in a different context. **MOMBASA** stands for **Mo**bility support — A **M**ulticast—**Based A**pproach. MOMBASA intends to utilize multicast for network—level mobility support. Contrary to the classic mobility approach of address translation and indirect routing (e.g. IETF Mobile IP [16, 22]), MOMBASA eliminates the need for IP address translation. Therefore MOMBASA has three main advantages:

- Rerouting for handover is executed in a network node where the path to the old and the new access point diverge (and not in an mobility agent on the mobile's home network which might be distant to the current location of the mobile).
- As a matter of principle, a (possibly future) multicast infrastructure and signaling is reused for handover. The multicast is complemented by *handover*–*specific* functionality (such as paging, last-hop-signaling etc.). Nevertheless it is not required to replace the multicast by a handover–specific infrastructure and signaling at all.
- Multicast offers flexible mechanisms to control the service interruption. In the utmost case
  packets can be distributed efficiently to potential new access points which buffer the packets
  and the handover latency is reduced to an absolute minimum.

The MOMBASA software environment is a generic platform to investigate the utilization of multicast for host mobility in IP-based networks. This document specifies protocols for this environment. The specification is the basis for the implementation.

The MOMBASA software environment provides the following features:

- The MOMBASA software environment provides mobility support in an access network. Multicast is used for *micro–mobility*<sup>2</sup> support.
- Even though multicast might be used as a sole mechanism for routing of packets in mobile networks (see e.g. [20]), typical mobility–specific functionalities are added complementing the basic multicast to support location management, handover initiation, paging, indirect registration and other functionalities.

<sup>&</sup>lt;sup>1</sup>This work has been partly supported by SIEMENS.

<sup>&</sup>lt;sup>2</sup>Handover between cells of an access network. Micro-mobility is transparent to the *macro-mobility* protocol.

- The software environment provides a generic interface to the multicast protocol. The interface can be adapted to support certain classes of multicast approaches. At this stage, the implementation of the MOMBASA software environment employs IGMPv2 / PIM-SM [12, 13]; IGMPv3 and SSM [3, 4] will be examined at the coming stage. However, the specification is independent of the particular multicast routing and access protocols as much as possible.
- The MOMBASA software environment works consequently with soft states.
- Policies grant a high degree of freedom (e.g. for rerouting, paging, interface selection, etc.)

The outline of the document is as follows: In the second chapter, the overall system is described and important terms defined. In chapter three, the overall protocol is explained. Message formats are specified in chapter four. Finally, the protocol specification can be found in chapter five and a simulation trace described by Message Sequence Charts (MSCs) in chapter six.

# **Chapter 2**

# **System Overview**

The system consists of the following core elements, as shown in figure 2.1. These include:

- *Mobile User (MU)*. Person equipped with a single or several communication devices and using communication services. A Mobile User traverses the coverage of a cellular network while on the move. A Mobile User is identified by a unique personal identifier.
- Mobile Host (MH). An IP-based communication device equipped with a single or multiple
  wireless network interfaces. Multiple interfaces usually support different technologies.<sup>1</sup> With
  the success of software radio an interface might adapt to different wireless technologies. A
  Mobile Host may vary from a dumb terminal to a powerful stand-alone machine.
- Access Point (AP). Stationary network node with a single or multiple down-link interfaces and
  a wire-line interface. It can be considered as a router which routes packets between the wireless and the wire-line network part. An Access Point performs Network Address Translation
  between multicast and unicast addresses. Additionally mobility-related tasks are performed by
  means of signaling. With respect to the Multicast Routers in the Handoff Domain, the Access
  Points act as multicast receivers. Access Points cooperate to support seamless handover.
- Multicast Router (MR). Stationary network node with multiple wire-line interfaces between which packets (both multicast and unicast) are routed. It is assumed that a Multicast Router is a standard device and has no specific mobility-related functionality.
- Gateway (GW). A stationary network node with multiple wire-line interfaces. A Gateway interconnects the Handover Domain with the global Internet. A Gateway performs Network Address Translation between unicast and multicast addresses. It acts as a Multicast Router and has additional mobility-related tasks.

Additionally the following terms are important:

• *Handover Domain*. An IP network under the control of a single authority. It consists of Access Points, Multicast Routers and Gateways. It may consist of multiple subnets.

<sup>&</sup>lt;sup>1</sup>In this document it is assumed that the last hop between MH and AP is wireless though this is not a not necessary for mobility.

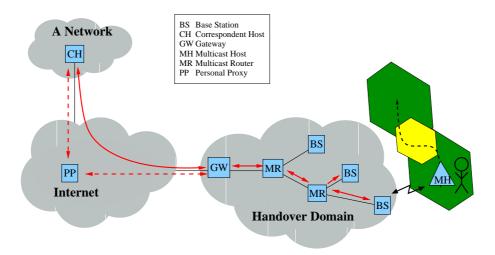


Figure 2.1: System architecture

- *Paging Area*. Set of wireless cells with a specific geographic coverage. When the exact location of the Mobile Host is not known, the Mobile Host is located by *Paging* within a Paging Area. Paging avoids locating a Mobile Host by broadcast.
- Access Point Group. Access Points which are potential candidates for handover form an Access
  Point group. When a Mobile Host registers with the actual Access Point the other Access Points
  belonging to the group will also subscribe to the multicast channel. The current Access Point
  is called active, the other Access Points passive. A group of Access Points is usually formed of
  adjacent and/or overlapping wireless cells.

Hosts and servers in the Internet communicating with Mobile Hosts in the Handover Domain are usually characterized as *Corresponding Hosts*.

It is assumed that a Mobile User can be identified by a unique personal identifier. Moreover, a Internet-wide location service is assumed which resolves the unique personal identifier of the Mobile User into IP addresses identifying the Mobile Host. Future mobility-aware applications will be able to communicate with the Mobile User directly (via the shortest path). Non-mobility-aware applications can communicate with the Mobile User via a Personal Proxy. Routing via a Personal Proxy causes indirect routing with all the disadvantages known from Mobile IP. Nevertheless a Personal Proxy can convert media (fax to email, voice to email, etc.) and preserves the location privacy of the Mobile User. Details of this architecture are beyond the scope of this document and it is referred to [18, 23].

The software components of the system are executed on the Mobile Hosts, Access Points, Multicast Routers and Gateways. The components are:

- *Mobile Agent*. A Mobile Agent is executed on the Mobile Host and performs mobility-related tasks.
- Mobility-Enabling Proxy. A MEP is executed on the Access Point. A MEP advertises its
  services in the wireless cell(s), manages registration tables, initiates and controls handoffs,
  exchanges routing informations with the Gateway Proxy and with other MEPs and controls

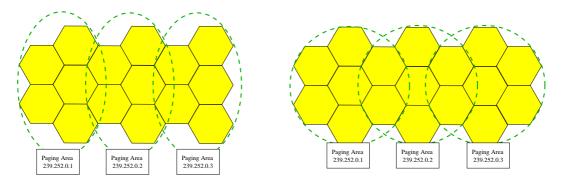


Figure 2.2: Paging Area: Non-overlapping (left) and Overlapping (right)

traffic between the wired and wireless networks part. A MEP executes address translation between the Mobile Host's multicast and unicast address.<sup>2</sup>

- Multicast Router demon. A Multicast Router demon is executed on the Multicast Router. The
  type of demon depends only on the supported multicast protocol and need not be mobility
  aware.
- *Gateway Proxy (GWP)*. The GWP is executed on the Gateway. The Gateway Proxy (or Paging demon) performs mobility-related tasks, such as location management and paging.

A Mobile Host may move freely through the coverage of wireless cells, while being associated with an Access Point and communicating. When it crosses cell boundaries a handover to the new Access Point is executed.

The main features of the system are:

- Addressing and routing based on IP- and IP-style multicast
- Multicast proxies in access points to disburden the mobile host from multicast group management for mobility support,
- Advertisements/Solicitations to advertise the availability of Mobility-Enabling Proxies (MEPs),
- Inter-MEP advertisements to register mobile hosts in advance,
- Packets can be distributed in advance to candidate Access Points by means of multicast. These
   passive Access Points buffer the packets. When the Mobile Host registers with one of the
   Access Points, it becomes active and the buffered packets will be forwarded to the Mobile
   Host. The other Access Points drop the buffered packets. This method reduces the handover
   latency to a minimum.
- The system supports heterogeneous wireless technologies, in particular multiple interfaces in the Mobile Host are supported.

<sup>&</sup>lt;sup>2</sup>The term MEP group and Access Point group is used interchangeable.

- The system differentiate between active and inactive mobile hosts. Inactive Mobile Hosts may have passive connectivity, where the frequency of re-registrations is decreased. This reduces the energy consumption of Mobile Hosts and signaling load on the wireless link.
- Paging is used to determine the exact location of a Mobile Hosts before delivering packets destined to an inactive Mobile Host. Paging allows the Mobile Host to update its location information less frequently at the cost of providing the network with only approximate location information. For paging in MOMBASA, wireless cells are grouped to Paging Areas and are identified by a multicast channel (see figure 2.2 for Paging Areas with non-overlapping and overlapping wireless cells). When locating a Mobile Host by paging, a paging request is sent via the paging channel. The usage of multicast facilitates a flexible and dynamic composition of Paging Area. In particular, the Paging Areas are not tied to the wired network topology in the Handover Domain.
- In principle, the system facilitates policies to provide flexibility and efficency and to control system behavior. The policies separate decision making from algorithms. In particular, policies are used to select the *optimal* access point among several possible ones and when to handover, and for control of buffering, forwarding and paging algorithms.
- The system facilitates soft-state of the location-management tables as well as of the multicast routing tables. Whereas the soft-state is an inherent feature of the multicast routing protocol, its consequent application for the location management allows the storage of network state in a distributed manner across various nodes and protocols.

# **Chapter 3**

# **Protocol Description**

#### 3.1 Addressing

It is assumed that a Mobile User is identified by a permanent and unique *Personal Identifier*, which can be resolved to application-specific addresses and to IP addresses, respectively. We do not constrain to a specific address format; a Network Access Identifier (NAI) [1] might be reasonable. This address type is widely used as a *userID* (e.g. *user@realm.com*) submitted by the client during PPP authentication.

Moreover, it is assumed that a Mobile Host is identified by two addresses: multicast channel and a unicast IP address. A multicast channel is a combination of a unicast IP source address S and the SSM destination address S, which form a S0 pair. In the described architecture the source address S1 is usually the Gateway's unicast IP address. For S1 the address realm S2 (232.0.0.0 - 232.255.255) is reserved.

When a Mobile Host enters the Handover Domain the first time, a *multicast channel* and a *unicast IP address* is assigned to the Mobile Host. Both addresses are quasi-permanent: they are assigned once and remain unchanged as long as the Mobile Host is registered within the Handover Domain. Within the handover domain, the multicast channel is used to transport *down-link* data packets, the unicast IP address is used for *up-link* data packets. Outside the Handover Domain the unicast IP address is used for both up-link and down-link packets. Therefore the unicast address must be a globally valid IP address. The multicast channel is regarded as a private address and valid inside the Handover Domain, only.

In the MOMBASA software environment a specific addressing concept is used: Suppose, for the Handover Domain a pool of unicast IP addresses is reserved which can be assigned to Mobile Hosts. Then, each unicast IP address has a corresponding IP address in the multicast address realm (232/8 addresses). Both the unicast and multicast address pools are continuous thus the multicast address of a Mobile Host can be simply determined by address mapping. See table 3.1 for an example.

<sup>&</sup>lt;sup>1</sup>Designated as Source-Specific Multicast (SSM) destination addresses [2]

Unicast IP address	Multicast channel (S,G)
130.149.49.1	(S,232.149.49.1)
130.149.49.2	(S,232.149.49.2)
	•
130.149.255.254	(S,232.149.255.254)

Table 3.1: Address mapping between unicast addresses and multicast channels

The use of multicast addresses in the Handover Domain requires an address translation by IP encapsulation [21] or by Network Address Translation (NAT) [9]. See figures 3.1 and 3.2 for examples.

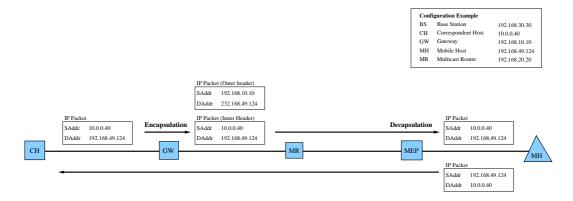


Figure 3.1: Address translation by means of encapsulation/decapsulation

One part of the multicast address space is reserved for signaling operations, i.e. for

- MEP groups
- Paging Area

#### 3.2 Multicast

Multicast is an essential part of the system. Multicast is provided for:

- Transport of data packets in the down-link direction from the Gateway Proxy towards the MEPs.
   (In particular multicast provides efficient data distribution to a group of MEPs in order to support seamless handover),
- Transport of signaling packets to locate inactive Mobile Hosts with paging,
- Management of multicast groups, such as paging areas and MEP groups,

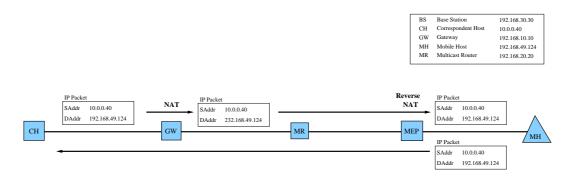


Figure 3.2: Address translation by means of NAT

### 3.3 Tables for Paging, Registration and Routing

It is distinguished between paging, registration and routing tables. All network nodes in the Handover Domain make use of their standard *routing tables* MRIB and RIB (multicast and unicast routing information base). Additionally each MEP manages a *registration table* and the Gateway Proxy a *paging table*. The paging and registration tables have *soft states*. They require a periodic refresh to keep network state alive. Moreover a multicast routing protocol uses soft state mechanisms to adapt to the underlying network conditions and to dynamics of multicast group membership.

The *registration table* of a MEP has an entry for each active Mobile Host registered directly and additionally entries for Mobiles which have been pre-registered by other MEPs. <sup>2</sup> An entry consists of:

- Link-level address of the Mobile Host,
- Interface of the last received registration,
- Unicast IP address of the Mobile Host,
- Flag if the Mobile Host has registered directly or was indirectly pre-registered via another MEP,
- Requested registration lifetime,
- Remaining registration lifetime of a pending or current registration.

A new entry is inserted in the registration table when a Mobile Host registers directly with a MEP or is indirectly pre-registered by another MEP. An entry is updated when an entry already exists: Three cases can be distinguished:

- 1. The Mobile Host was directly registered and re-registers.
- 2. The Mobile Host was directly registered and is pre-registered by another MEP.
- 3. The Mobile Host was indirectly pre-registered by another MEP and registers directly.

<sup>&</sup>lt;sup>2</sup>Note that inactive Mobile Hosts do not have an entry.

When the remaining lifetime is less than zero or a registration message with lifetime zero is received, the entry will be removed from the registration table.

The *paging table* of the Gateway Proxy has an entry for each Mobile Host in the Handover Domain. An entry consists of:

- Unicast IP address of the Mobile Host,
- Paging Area id of last paging-update,
- Requested paging-update lifetime,
- Remaining lifetime of the current paging-update.
- Registration identification for reordering detection.

A new entry is inserted into the paging table and the entry is updated when a paging update is sent by a Mobility-Enabling Proxy (MEP) for an inactive Mobile Host. When the remaining lifetime of the current paging table entry is less than zero or a paging update with lifetime zero is received, the entry is removed from the paging table.

For routing of packets, the standard routing tables are used. Unicast packets are routed by means of the standard unicast routing table (RIB); multicast packets use the standard multicast routing table (MRIB).

For a Mobile Host two cases have to be considered, whether the Mobile Host is *active* or *inactive*. For an *active* Mobile Host, entries in registration tables and entries for the Mobile Host's multicast channel in the multicast routers exist. For an *inactive* Mobile Host, the multicast routing table entries of the multicast routers and the registration table entries are timed out, whereas the paging table entry still exists. The re-establishment of the multicast routing table entries can be triggered by paging.

For efficiency reasons the multicast router provides a multicast forwarding cache (MFC) at kernel level and additionally a multicast routing table (MRIB) at user space level in the multicast routing daemon. However, here the paging functionality is realized in a paging demon and requires an interaction with the multicast functionality.

#### 3.4 Timers

Timers are used to realize soft-state in a distributed manner across the network nodes. In general two types of timers are distinguished: Timers that control the sending of signaling messages for registration and advertisements and timers for aging out state in registration and paging tables. In table 3.2 the MOMBASA-specific timers are listed. Note that table 3.2 does not include timers which control states in multicast routing tables (MRIB) or multicast forwarding caches (MFC).

Name	Meaning	Representative value
re-registration timer	Expected inter-arrival time of registrations	10 sec./30 sec.
	in active/inactive mode. Triggers a	
	re-registration with the MEP.	
registration timeout	Validity of a registration table entry.	30 sec.
paging timeout	Validity of a paging entry.	90 sec.
activity timeout	Time the host remains in active state w/o	10 sec.
	incoming data.	
advertisement timer	Expected inter-arrival time of advertisements.	100 msec.

Table 3.2: Timers in the MOMBASA software environment

#### 3.5 Policies

In general policies are a set of rules to administer, manage, and control access to network resources [19]. In MOMBASA policies are used to

- select the interface in order to determine the optimal network access,
- determine when to handover (including hysteresis),
- allocate the optimal buffer space in passive Access Points buffering packets,
- select the optimal rerouting policies: *break-before-make*, *make-before-break* or *predictive* handover.

## 3.6 Buffer Management

For predictive handover, passive Access Points buffer packets. The buffer is allocated when the Mobile Host is pre-registered with the MEP and de-allocated when the Mobile Host's entry is removed from the registration list or the Mobile Host registers directly with the Mobility-Enabling Proxy. Determining the allocated buffer size is policy-controlled and for further study.

## 3.7 Overview of Protocol Operations

The next section provides a rough overview of the protocol operations. It is divided into initial registration and address allocation, packet transport, handover and paging.

#### 3.7.1 Initial Registration and Address Allocation

- 1. MEPs advertise their availability on each link for which they provide service. Alternatively, a Mobile Host may solicit a MEP Advertisement from a MEP through a MEP solicitation.
- 2. When a Mobile Host enters the Handover Domain, it associates a unicast IP address with its interfaces. The address may be dynamically acquired by the Mobile Host through a protocol

such as DHCP [8] or may be owned as a long-term address for its use only in the Handover Domain. This step should be tied with authorization and authentication.<sup>3</sup>

- 3. The Mobile Host sends a registration request to the MEP indicating an active state.
- 4. The MEP processes the registration request and inserts a new entry in its registration table, sends a reply to the Mobile Host, determines the multicast channel assigned to the mobile host's IP address and subscribes for the multicast channel on behalf of the mobile host. Additionally the MEP triggers the other MEPs in its group to likewise subscribe for the multicast channel.

Alternatively, a Mobile Host may go immediately into inactive state after acquiring the unicast IP address. In this case the Mobile Host sends an inactive registration to the MEP which sends a paging update to the Gateway Proxy on behalf of the Mobile Host.

As long as the Mobile Host remains in the active state, a re-registration to the MEP is sent frequently. This, in turn triggers the MEP to send a paging update to the Gateway Proxy.

#### 3.7.2 Packet Transport

At first it is assumed that the Gateway and MEP applies IP-IP encapsulation and decapsulation, respectively (see figure 3.1). The case where the Gateway performs NAT (see figure 3.2) is similar.

- Downstream traffic directed to the Mobile Host:
  - 1. A CH may send packets to the unicast IP address of the Mobile Host.
  - 2. The Gateway Proxy intercepts the packets and performs IP-IP encapsulation. If an entry in the multicast forwarding cache (MFC) for the channel exists, the packet is forwarded directly towards the multicast channel. Otherwise the Gateway Proxy is informed and packets are queued. If no entry exists in the paging table the multicast routing demon is notified. If an entry in the multicast routing table in the demon exists, the queued packets are forwarded. In the case that an entry in the paging table exists, the packets are buffered in the Gateway Proxy and the Mobile Host will be paged. (The paging case will be considered separately. See section 3.7.6)
  - 3. Intermediate multicast routers forward the packet along the multicast channel.
  - 4. When the active MEP receives a multicast packet, the MEP decapsulates the packet and forwards it to the Mobile Host's link local address. A passive MEP buffers the packet.
  - 5. Finally, the Mobile Host receives the packet.
- Upstream traffic is originated by the Mobile Host and is sent via unicast.

#### 3.7.3 Handover

- Mobile Host initiated handover
  - 1. The need for handover is detected by one of the algorithms for link availability detection: monitoring of layer-2 parameters, advertisements-based or polling.

<sup>&</sup>lt;sup>3</sup>Details of address allocation, authorization and authentication are out of scope.

- 2. If the Mobile Host is in active state, it sends an active registration request to the MEP.
- 3. The MEP processes the registration request and updates its registration table. If an entry in the MEP's registration table was already existing due to an pre-registration by another MEP then buffered packets (if any) will be forwarded to the Mobile Host. Additionally the MEP triggers the other MEPs in its group to likewise subscribe for the multicast channel.
- 4. When the Mobile Host's entry in the registration table of the old MEP times out then the entry is removed and the MEP triggers other MEPs in its group to un-subscribe the Mobile Host's multicast channel. The Mobile Host may also send an explicit de-registration message (registration message with lifetime zero).
- 5. When a MEP has both a direct and an indirect registration, the direct registration has higher priority than the indirect one. However the indirect registration is not discarded but noted in the registration entry. When the direct registration expires (or is deleted by a de-registration) and the indirect one is still valid the MEP becomes a *passive* MEP. This avoids certain race conditions after handovers.

Alternatively, a handover can be initiated by the MEP.

#### 3.7.4 Transition from Active to Inactive Mode

The transition form active to inactive mode happens as follows:

- 1. The Mobile Host detects that for some time data was neither sent nor received.
- 2. The Mobile Host sends a registration request denoting inactive to a MEP.
- 3. If the MEP has a registration entry for the Mobile Host this entry is deleted and MEPs in the same MEP group are notified. The MEP un-subscribes from the corresponding multicast channel. A paging update is generated and sent to the Gateway Proxy.
- 4. The Gateway Proxy receives the paging update and generates an entry in the paging table.
- 5. When the Mobile Host's entry in the registration table of the old MEP times out then the entry is removed and the MEP triggers other MEPs in its group to un-subscribe the Mobile Host's multicast channel. The Mobile Host may (and should if possible) send an explicit deregistration message (registration message with lifetime zero) to the old MEP.

#### 3.7.5 Transition from Inactive to Active Mode

Wakeup (the transition from inactive to active mode) is performed when the inactive Mobile Host wants to send data or when it is paged (see next section).

- 1. The Mobile Host sends a registration request indicating active state and that the registration is a wakeup to a MEP of its choice.
- 2. The MEP processes the registration request and inserts a new entry in its registration table, sends a reply to the Mobile Host, determines the multicast channel assigned to the mobile host's IP address and subscribes for the multicast channel on behalf of the mobile host. Additionally

the MEP triggers the other MEPs in its group to likewise subscribe for the multicast channel. Finally the MEP sends a paging update with lifetime zero to the Gateway Proxy.

3. The Gateway Proxy receives the paging update and removes its entry in the paging table.

#### **3.7.6 Paging**

For paging it is assumed that all MEPs belonging to a specific paging area have subscribed to the corresponding multicast channel.

- 1. When a Gateway Proxy receives a packet and has an entry for the destination Mobile Host in its paging table the Gateway Proxy buffers the packet. It sends a paging request to the paging area. For this the Gateway Proxy determines the IP multicast address corresponding to the paging area and forwards the paging request on the multicast channel.
- 2. The MEPs receive the paging request and forwards it on their wireless interface.
- 3. When the Mobile Host finds it unicast IP address in the paging request, the Mobile Host performs the wakeup procedure as described in the previous section.

#### 3.8 Protocols

Consider a typical MOMBASA network which uses IGMP and PIM-SSM as multicast protocols. Then the overall network uses five protocols

- Last-Hop Protocol (LHP). Defined protocol between Mobile Host and MEP.
- Inter-MEP Protocol (IMP). Defined protocol between MEPs.
- MEP-GWP protocol. Defined protocol between MEP and GWP.
- *IGMP*. Internet Group Management Protocol(IGMP). Standard management protocol for IP multicast. Actual versions are IGMPv2 [13] and IGMPv3 [4]. IGMPv3 adds support for single source multicast. IGMPv1 [5] is considered for backward compatibility only. The implementation of IGMPv3 is based on [14, 15]
- *PIM-SSM*. Protocol Independent Multicast-Single Source Mode (PIM-SSM). Standard multicast routing protocol based on explicitly subscribe- and un-subscribe-operations [12]. The implementation is based on [7, 11, 12]

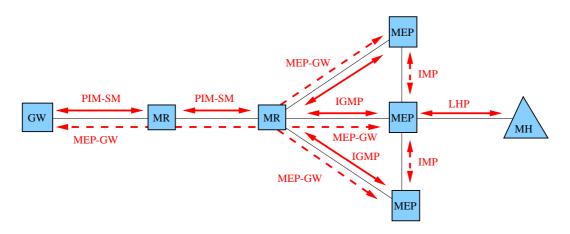


Figure 3.3: MOMBASA protocols involved in signaling for handover

# **Chapter 4**

# **Message Formats**

#### 4.1 LHP Message Formats

The messages of the *Last-Hop Protocol (LHP)* are based on IETF Mobile IP message [22] types with minor modifications. Numeric values are always in network byte order (Big Endian).

#### 4.1.1 MEP Advertisements

A MEP Advertisement is sent by a MEP to advertise its availability on each link it provides service. A MEP Advertisements is formed by extending a ICMP Router Advertisement message [6].

IP fields:

Source Address IP address of the corresponding MEP interface.

Destination Address Broadcast address 255.255.255.255

TTL 1

ICMP fields:

Type 9: ICMP Router Advertisement

Code 17 This is a MEP, not a normal router and it does not route

common traffic.

Lifetime The maximum time in milliseconds that the MEP advertisement

is considered valid in the absence of further MEP Advertisements.

Router Address MEPs own address.

Num Addr Number of router addresses advertised in the ICMP message. Set to 1.

The MEP Advertisement extensions follows the ICMP Router Advertisement fields.



Туре	17 (MEP Advertisement)
Length	Length of this extension (6).
Sequence Number	Count of MEP Advertisement sent since the MEP was initialized.
	Wrap-around as in RFC2002[22] to enable reboot detection.
Registration Lifetime	Longest lifetime (in seconds) the MEP is willing to accept.
R	Not used (Registration required, for IETF Mobile IP only)
B	Busy. The MEP will not accept registrations from additional
	Mobile Hosts.
H	Not used (Home Agent, for IETF Mobile IP only)
F	Not used (Foreign Agent, for IETF Mobile IP only)
M	Not used (Minimal encapsulation, for IETF Mobile IP only)
G	Not used (GRE encapsulation, for IETF Mobile IP only)
V	Not used (VanJacobson Header Compression, for IETF Mobile
	IP only)
P	Indicates if the MEP supports paging or not (Not available
	in IETF Mobile IP).
R	Reserved. Zeroed.
Reserved	Zeroed.

#### 4.1.2 MEP Solicitation

A MEP solicitation is sent by a Mobile Host to solicit a MEP Advertisement from a MEP. A MEP solicitation is identical to a ICMP Router Solicitation with the restriction that the IP TTL field is set to 1.

#### 4.1.3 MH Registration Request

A registration request is sent by a Mobile Host to the MEP. A Mobile Host registers when a Mobile Host

- is in the initial or active state and detects that it has moved to a new MEP,
- detects that its registration-request timeout is about to expire, indicating that an expected reply to a sent registration-request is missing,
- detects that its re-registration timer is about to expire,
- is in active state and detects that its current MEP has rebooted,
- transits from active to inactive state or vice versa.

When the Mobile Host is in active state it sets the appropriate flag in the registration request message.

IP fields:

Source Address	Mobile Hosts unicast IP address.
<b>Destination Address</b>	MEP IP address from the corresponding MEP Advertisement.
TTL	Set to 1.

UDP fields:

Source Port Variable **Destination Port** 

The UDP header is followed by:

0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 Type Mobile Host Unicast IP Address Identification Extensions...

2 (Registration Request) Туре

S Not used (Simultaneous Binding, for IETF Mobile

IP only)

Broadcast datagrams В

Not used (Decapsulation by Mobile Host, for IETF Mobile D

IP only)

M Not used (Minimal encapsulation, for IETF Mobile IP only) Not used (GRE encapsulation, for IETF Mobile IP only) G

Not used (VanJacobson Header Compression, for IETF Mobile

IP only)

Indicates if the Mobile Host supports Paging or not (Not available

in IETF Mobile IP).

Indicates that the Mobile Host is active. (Not available A

in IETF Mobile IP).

Lifetime Number of seconds remaining before the registration is

> considered expired. The lifetime for the inactive mode should be larger than for the active mode. Zero indicates

a de-registration request.

0xffff indicates infinity.

RRP Rerouting Policy.

> 0 Break-before-make 1 Make-before-break

2 Predictive

W The Mobile Host is just waking up (A must be also set).

Reserved Zeroed.

Mobile Host Unicast IP Address IP Address of the Mobile Host.

Identification Used for matching registration requests with registration

reply, and for protecting against replay attacks of

registration messages [22].

Extensions Optional Mobile Host - Gateway authentication extension

(Prefix Length Extension, One-byte Padding Extension).

#### 4.1.4 MH Registration Reply

A registration reply is sent by the MEP to reply to a registration request.

IP fields:

Source Address Copied from the MEP registration request destination field.

Destination Address MEP IP address.

UDP fields:

Source Port Variable.

Destination Port Copied from Source Port of the MEP registration request.

#### The UDP header is followed by:



*Type* 4 (Registration Reply)

Code Indicates the result of the Registration Request.

Lifetime Number of seconds remaining before the registration is considered expired.

Reserved Zeroed.

Identification Used for matching registration Requests with registration Reply, and for

protecting against replay attacks of registration messages [22].

Extensions Mobile Host - Gateway authentication extension (optional)

The following Codes are defined:

- 0 Registration accepted
- 64 Reason unspecified
- 65 Administratively prohibited
- 66 Insufficient resources
- 67 Mobile Host failed authentication
- 69 Requested lifetime too long
- 70 Poorly formed Request
- 80 GW host unreachable (ICMP error received)
- 81 GW port unreachable (ICMP error received)
- 82 GW unreachable (other ICMP error received)

#### **4.2** IMP Message Formats

#### 4.2.1 Inter-MEP Advertisement

A inter-MEP advertisements is sent by a MEP to other MEPs to advertise its availability and to preregister Mobile Host with other MEPs of its MEP group. Therefore the group of MEPs form a IP multicast group with a pre-defined IP multicast address whereas the currently active MEP acts as a multicast sender.

IP fields:

Source Address IP address from which the message is sent.

Destination Address Multicast IP address for the MEP group.

UDP fields:

Source Port Variable
Destination Port 434

0	1	2	3
0 1 2 3 4 5 6 7	8 9 0 1 2 3 4 5	6 7 8 9 0 1 2 3 4 5 6 7 8	3901
Type	Length	Sequence Number	:
Hold-	-time	Reserved	
Unica	st IP address	s of Mobile Host 1	
Unica	st IP address	s of Mobile Host N	
Extension	ns		

*Type* 6 (Inter-MEP Advertisement)

Length Length of the extension excluding type and length fields.

Sequence Number Count of Inter-MEP Advertisement sent since the MEP was initialized.

Hold-time Number of seconds remaining until the pre-registration is considered

expired.

Reserved Zeroed.

Unicast IP address ... Unicast IP address of the Mobile Host to pre-register.

### 4.3 MEP-GWP Message Formats

#### 4.3.1 Paging Request

A paging request is sent by the Gateway Proxy to a Paging Area which is identified by a multicast channel. The MEPs broadcast the paging request in their wireless cells or send them to the unicast IP address of the paged Mobile Host.

IP fields:

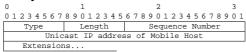
Source Address Address from which the message is sent.

Destination Address Multicast address of the paging area.

UDP fields:

Source Port Variable
Destination Port 434

#### The UDP header is followed by:



*Type* 8 (Paging Request)

Length Length of the extension (6 Bytes).

Sequence Number Count number of paging requests. Used to distinguish the paging

request messages.

#### 4.3.2 Paging Update

A paging update is sent by the Mobile Host to refresh the Gateway Proxie's paging cache. It is sent frequently if the Mobile Host is in inactive state.

IP fields:

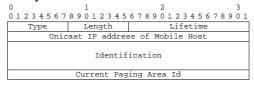
Source Address Unicast address of the Mobile Host.

Destination Address Address of the Gateway Proxy.

UDP fields:

Source Port Variable Destination Port 434

The UDP header is followed by:



Type 10 (Paging Update)
Length Length of the message.

Lifetime Number of seconds remaining before the lifetime is considered

expired. Copied from registration request.

*Identification* Identification copied from registration request.

Current Paging Area ID Multicast Address of the Paging Area.

Set by the current MEP.

#### 4.4 IGMP Message Formats

#### 4.4.1 **IGMP**

IGMP version 1 [5], version 2 [13] and version 3 [4] provide a method through which a host can join or leave a multicast group. IGMP version 3 adds support for *Single Source Multicast (SSM)*: a host or router may report interest in receiving packets *only* from specific source addresses, or from *all but* specific source addresses sent to a specific multicast address.

IGMP messages are encapsulated in IP datagrams, with an IP protocol number of 2. The IGMP messages are sent with a TTL of 1. They carry an IP Router Alert Option [17] in its IP header.

The following types are defined:

- 0 Hello
- 0x11 Membership Query (General Query or Group-Specific Query or
  - Group-and-Source-Specific Query)
- 0x12 Version 1 Membership Report
- 0x16 Version 2 Membership Report
- 0x22 Version 3 Membership Report
- 0x17 Leave Group

In MOMBASA II only IGMPv3 is provided.

#### 4.4.2 IGMP Membership Query Message

Sent by Multicast Routers to query the multicast reception state of neighboring interfaces of MEPs or other Multicast Routers.

There are three variants:

- General Query. Sent by a multicast router to learn the complete multicast reception state of the neighboring interfaces. General Queries are periodically sent. The Group Address field and the Number of sources are zero.
- *Group-Specific Query*. Sent by a multicast router to learn the reception state for a *single* multicast address of the neighboring interfaces. The *Group Address* field contains the multicast address of interest, the *Number of sources* field contains zero.
- Group-and-Source-Specific Query. Sent by a multicast router to learn if any neighboring interface desires reception of packets for a specific multicast address from any address of the list of sources. The Group address field contains the multicast address of interest and the Source Address fields contain the source address(es) of interest.

IP fields:

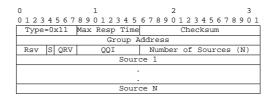
Destination Address 224.0.0.1 for General Query (All-hosts multicast group)

Multicast address of interest for Group-Specific and

Group-and-Source-Specific Query.

TTL 1

#### IGMP Membership Query message format:



Type 0x11 (IGMP Membership Query)

Max Resp Time Maximum allowed time before sending a responding report, in units of 1/10s.

Checksum of the whole IGMP message (entire IP payload).

Group Address Multicast group address. Zeroed in a General Query. Multicast address in a

Group-Specific or Group-and-Source-specific query.

Rsv Zeroed.

S Suppress Router-Side Processing (S-Flag). It indicates to a multicast

router to suppress the normal timer updates upon hearing a request.

QRV Querier's Robustness Variable. It allows tuning for the expected packet

loss. If a network is expected to be lossy, the Robustness Variable may be

increased. Default:2

QQI Querier's Query Interval in seconds. If a Multicast Router is not the current

querier adopt the value from the most recently received Query. Default:

135 sec.

Number of Sources Number of sources present in the Query. The field is zero in a General Query

or a Group-Specific Query.

Source address IP unicast addresses.

#### 4.4.3 IGMPv3 Membership Report Message

Sent by a MEP or Multicast Router to report the current multicast reception state of their interfaces.

IP fields:

Source Address IP address of the corresponding interface in the MEP or Multicast Router.

Destination Address 224.0.0.13 (All IGMPv3-capable routers) (for IGMPv1 and v2 the multicast

group address specified in the Group Address field of the Membership Query

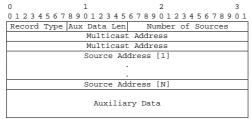
message).

TTL 1

IGMP Membership Report message format:

0		1						2						3	
0 1 2 3 4 5 6	7	8 9 0	1 2	3 4	5	6 7	8 9	0 1	2	3 4	5	6 7	8	9 0	1
Type=0x12   0x	(16   0x22	Re	ser	ved					Ch	ecl	cs	um			
	Reserve	i				Nun	ber	of	G	rou	р	Rec	or	ds	(M)
	Group Record [1]														
		Gr	oup	Re	co	rd	[M]								

#### Each Group Record consists of



Type 0x12 IGMPv1 Membership Report, 0x16 IGMPv2 Membership Report,

0x22 IGMPv3 Membership Report,

Reserved Zeroed

Checksum of the whole IGMP message (entire IP payload).

Number of Group Records Number of records present in the message.

Group Record Contains information about the sender's membership in a multicast group

on the interface from which the Report is sent.

Record Type Current state of record or change of the filter mode. In INCLUDE mode,

reception of packets is requested only for those IP parameters listed in the source list. In EXCLUDE mode, reception of packets is requested from

all IP source addresses except those listed in the source-list.

1 MODE\_IS\_INCLUDE 2 MODE\_IS\_EXCLUDE

3 CHANGE\_TO\_INCLUDE\_MODE 4 CHANGE\_TO\_EXCLUDE\_MODE

5 ALLOW\_NEW\_SOURCES 6 BLOCK\_OLD\_SOURCES

Aux Data Len Length of the Auxiliary Data field (units of 32-bit words).

Number of sources Number of sources present in the report.

Auxiliary Data Not used.

#### 4.4.4 IGMPv2 Leave Group Message

A IGMPv2 Leave Group message is sent by a MEP to un-subscribe from a multicast channel.

Source Address IP address of the corresponding interface in the MEP.

Destination Address 224.0.0.2 (All routers).

IGMPv2 Leave Group message format:

0	1	2	3
0 1 2 3 4 5 6 7	8 9 0 1 2 3 4 5	$6\ 7\ 8\ 9\ 0\ 1\ 2\ 3\ 4\ 5\ 6\ 7$	8901
Type=0x17	Reserved	Checksum	
	Group A	Address	

Type 0x17 (IGMPv2 Leave Group)

Reserved Zeroed

Checksum of the whole IGMP message (entire IP payload).

Group Address Multicast group address to leave.

## 4.5 PIM Message Formats

All PIM control messages have protocol number 103.

The following PIM-types are defined:

- 0 Hello
- 1 Register
- 2 Register-Stop
- 3 Join/Prune
- 4 Bootstrap
- 5 Assert
- 6 Graft (for PIM-DM only)
- 7 Graft-Ack (for PIM-DM only)
- 8 Candidate-acsRP-Advertisement

#### 4.5.1 PIM Hello Message

A PIM Hello message is sent by periodically by the Gateway and Multicast Routers on each PIM-enabled interface. They allow to learn the neighboring PIM router.

IP fields:

Source Address IP address of the corresponding interface in the MEP or Multicast Router.

Destination Address 224.0.0.13 (All PIM routers)

PIM Hello message format:

0 0 1 2 3 4 5 6 7 8	1 9012345	2 6 7 8 9 0 1 2 3 4 5 6	3 7 8 9 0 1
VersionType=0	Reserved	Checksum	
Option '	Type	Option Lengt	:h
	Option	Value	
Option '	Type	Option Lengt	.h
	Option	Value	

Version Version of PIM 2

Type 0 (Hello). Reserved Zeroed.

Checksum Standard IP checksum.

Option Type Type of the option given in the Option Value field.

1 Hold-time

2-16 reserved for future use 17-18 deprecated, not to use

19 DR Priority20 Generation ID

21 State Refresh Option for PIM DM 22-65000 To be assigned by IANA 65001-65535 Reserved for private use.

Option Length Length of the Option Value field in bytes. For

Option Type Option Length

1 2 19 4 21 4

Option Value See Option Type field

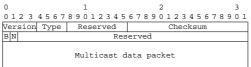
#### 4.5.2 PIM Register Message

Sent by a DR to the RP when a multicast packet needs to be transmitted on the multicast distribution tree.

IP fields:

Source Address IP Address of the DR. Destination Address IP address of the RP.

PIM Register message format:



Version Version of PIM 2
Type 1 (Register).
Reserved Zeroed.

Checksum is calculated only for the first 8 bytes, excluding the data

packet.

Border bit. Set to 0, if a source is directly connected to the router.

Set to 1, if a source is in a directly connected cloud.

Null-Register bit. Set to 1 by a DR that is probing the RP before

expiring its local Register-Suppression timer.

Multicast data packet The original packet sent by a source.

#### 4.5.3 PIM Register-Stop Message

Sent by a RP to the DR (sender of the Register-message).

IP fields:

Source Address Address to which the *Register* message was addressed.

Destination Address Source address of the *Register* message.

PIM Register message format:

0 1 2 3 4 5 6 7 8 9 0 1 2

Version Version of PIM 2
Type 2 (Register-Stop).

Reserved Zeroed.

Checksum IP checksum.

Encoded-Group Address Encoded multicast group address

Encoded-Unicast-Source Address Address of source from multicast data packet in Register-

message

#### 4.5.4 PIM Join/Prune Message

Sent by Multicast Routers towards upstream sources and RPs.

IP fields:

Source Address Address of the Multicast Router.

Destination Address Address of upstream router or RP.

PIM Join/Prune message format:

Version

0	1	2	3
0 1 2 3 4 5 6 7	8 9 0 1 2 3 4	5 6 7 8 9 0 1 2 3 4 5 6 7	8901
Version Type	Reserved	Checksum	
Encoded-1	Jnicast-Upst	ream Neighbor Addres	5
Reserved	Num Groups	Hold-time	
Enco	ded-Multicas	t Group Address-1	
Number of Jo:	ined Sources	Number of Pruned Sc	urces
Ence	oded-Joined	Source Address-1	
		•	
Enc	oded-Joined	Source Address-n	
Enc	oded-Pruned	Source Address-1	
		•	
		•	
		Source Address-n	
		t Group Address-n	
		Number of Pruned Sc	urces
Enc	oded-Joined	Source Address-1	
		•	
		•	
		Source Address-n	
Enc	oded-Pruned	Source Address-1	
		•	
		•	
Ence	oded-Pruned	Source Address-n	

Type 3 (Register).

Encoded-Unicast-Upstream Neighbor Address
Hold-time Encoded IP address of the upstream neighbor.

Amount of time in seconds a receiver must keep the Join/Prune state alive.

Number of Groups Number of multicast group sets contained in this message.

Encoded-Multicast Group Address A wild card group (\*,\*,acsRP) join is represented by a 224.0.0.0 in the group address and '4' in the mask length field.

Number of Joined Sources

Number of Joined Sources listed for a specific multicast

Version of PIM 2

List with addresses of sources. The sending router will

forward multicast datagrams for these sources.

Number of Pruned Sources

Number of prune source addresses listed for a group.

Prune Source Address-1..n

List with addresses of sources. The sending router does not want to forward multicast datagrams for these sources.

#### 4.5.5 Other PIM Messages

Join Source Address-1..n

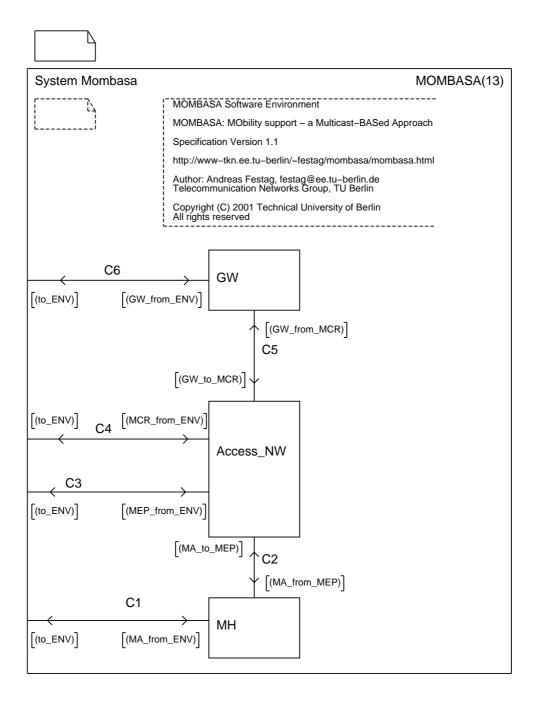
Bootstrap-, Assert-, Graft-, Graft-Ack-, and Candidate-acsRP-Advertisement messages are beyond the scope of this document.

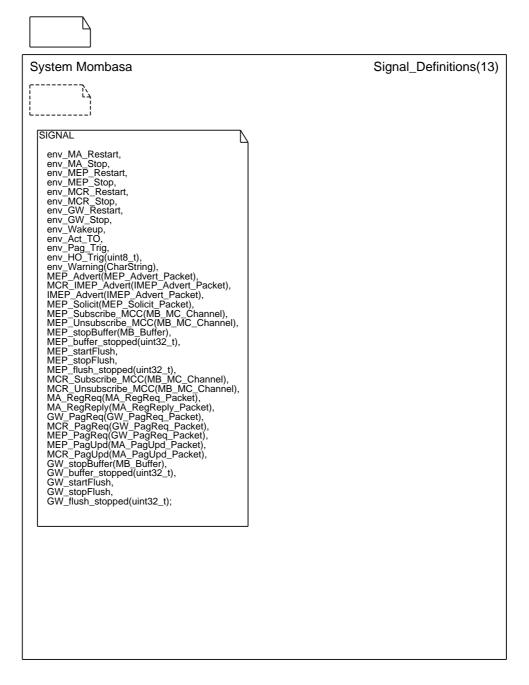
# **Chapter 5**

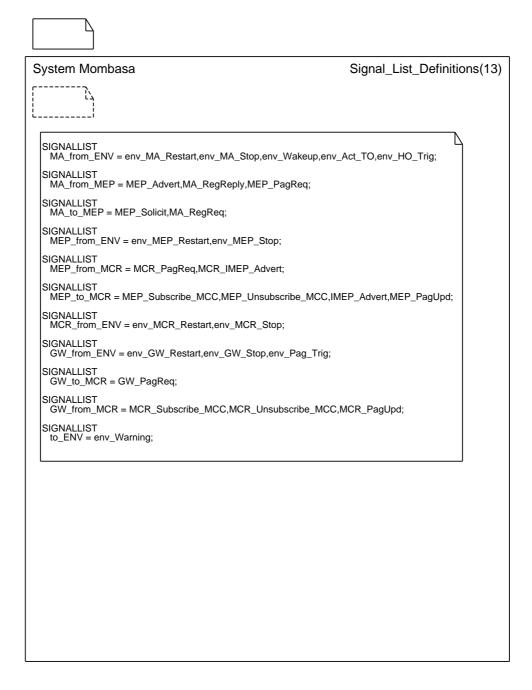
# **Protocol specification**

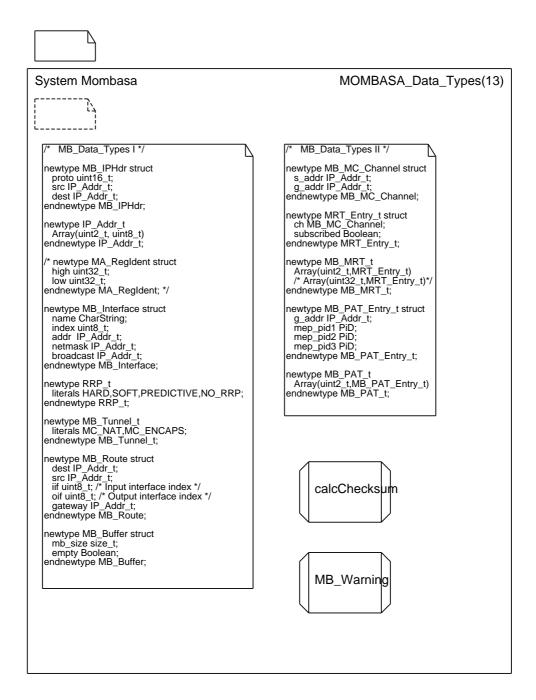
The MOMBASA system is formally specified using SDL [10], a general-purpose specification language for communication systems. The system is modeled as communicating processes of the components described in section 2 and their environment. Each process is regarded as an Extended Finite State Machine (EFSM). Communication is represented by signals and can take place between the processes and the environment of the system. The environment generates signals (such as handover) which are considered as *external* events of the MOMBASA system.

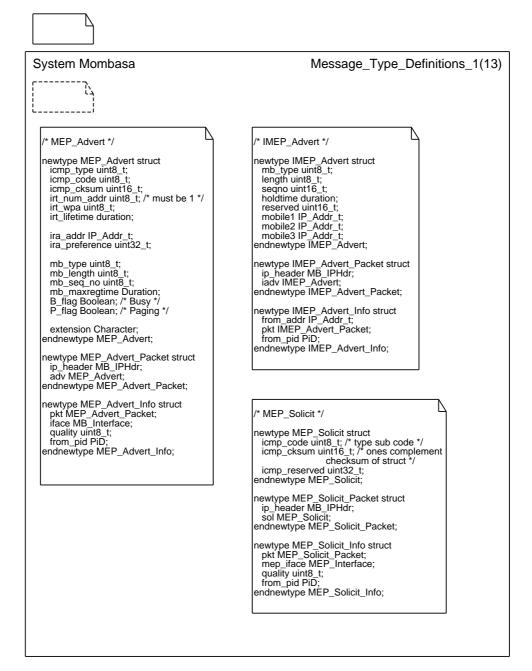
In the following, the major parts of the specification are listed. At first, an overview of the SDL blocks, definitions of signals, message types, and data types used in the particular processes (registration tables, paging tables, etc.) is given. Then, the state machine of each process is shown. Due to space limitations, the procedure specifications are not included in this report.

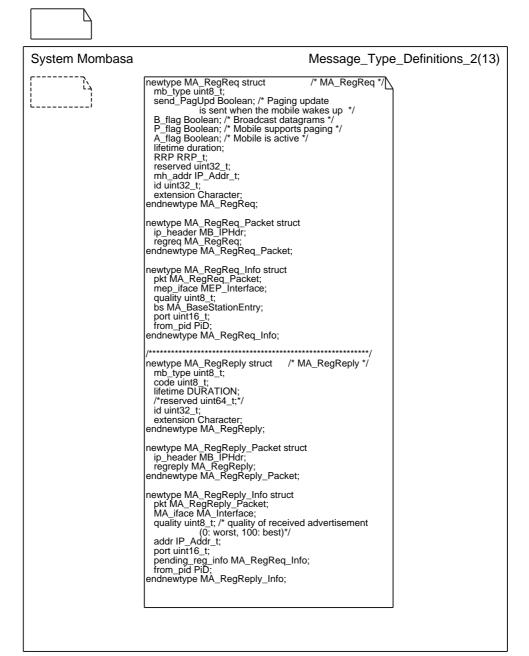


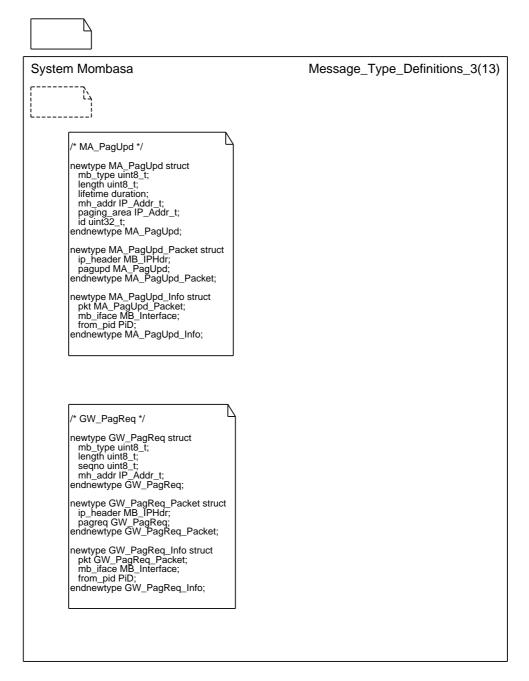


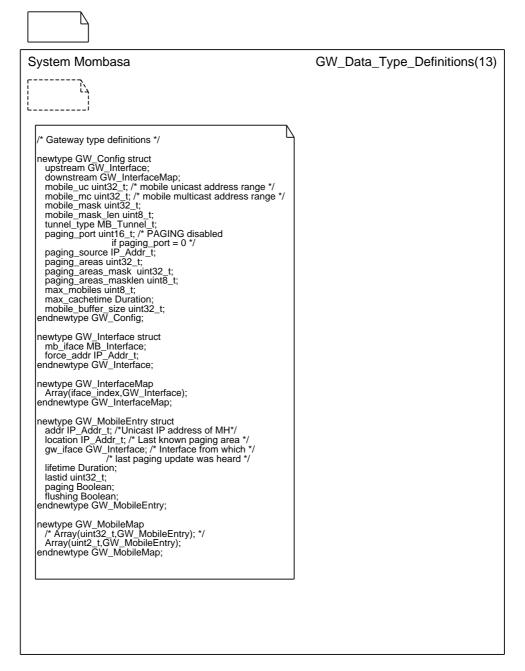


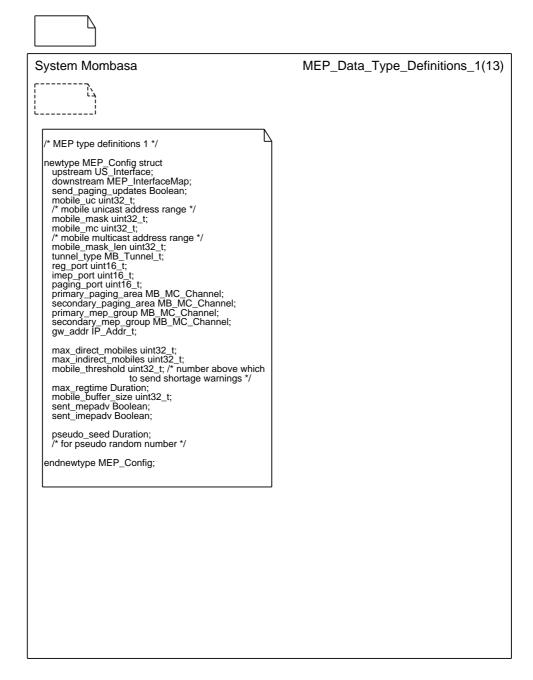


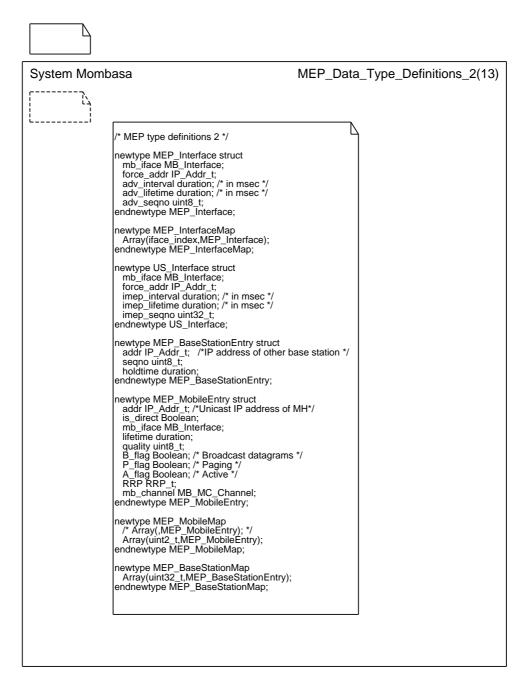


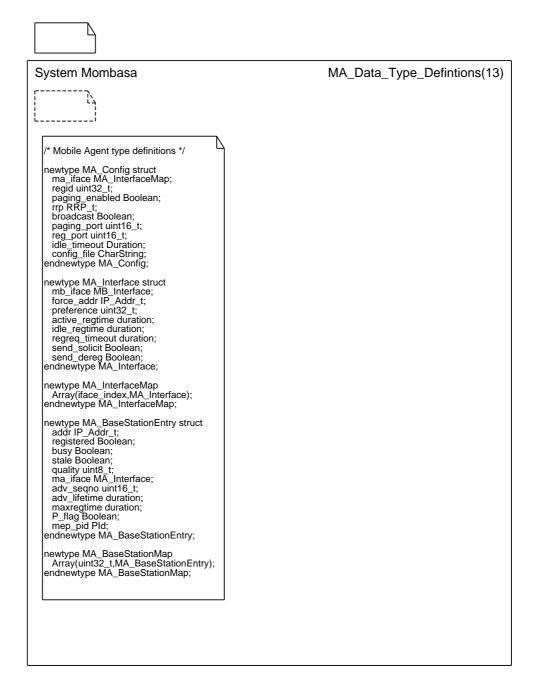


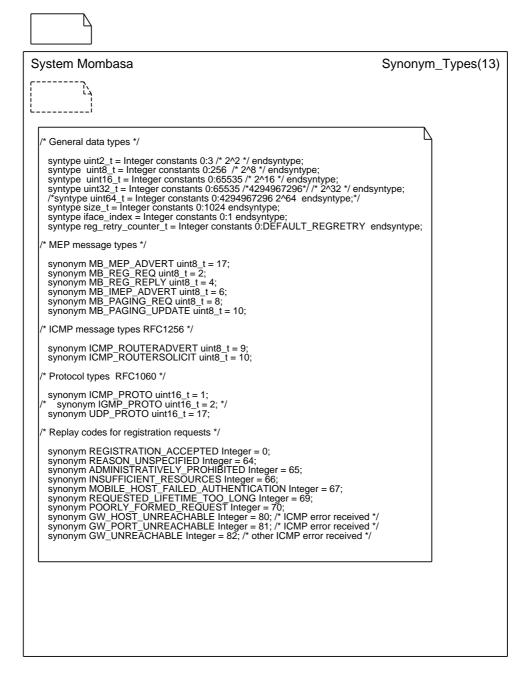


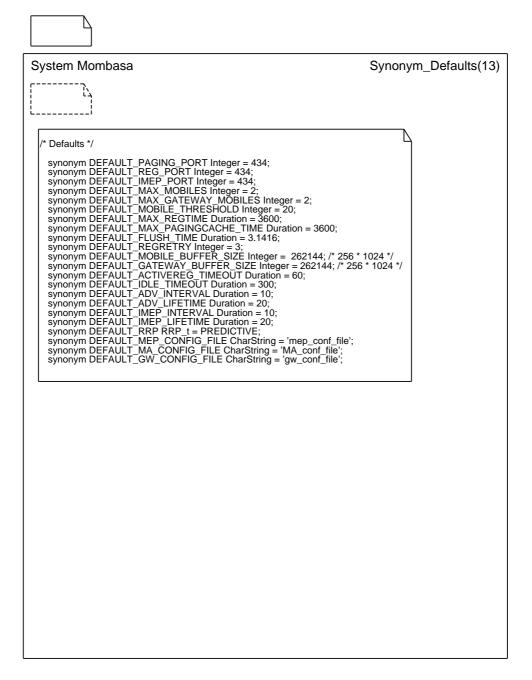




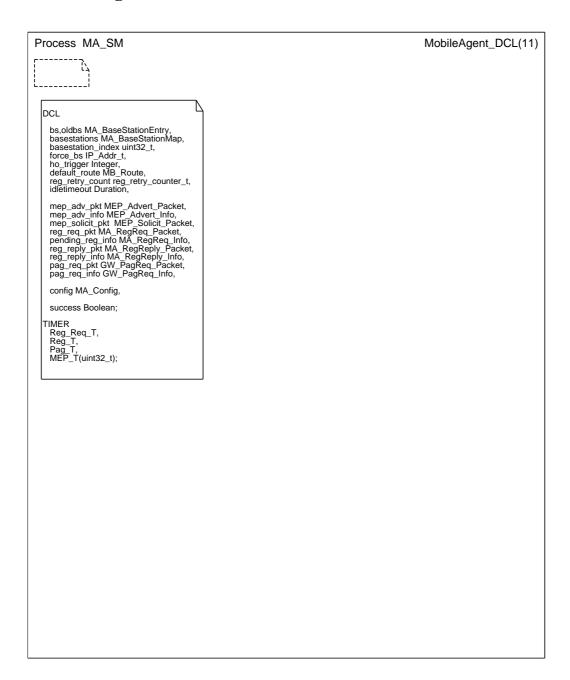


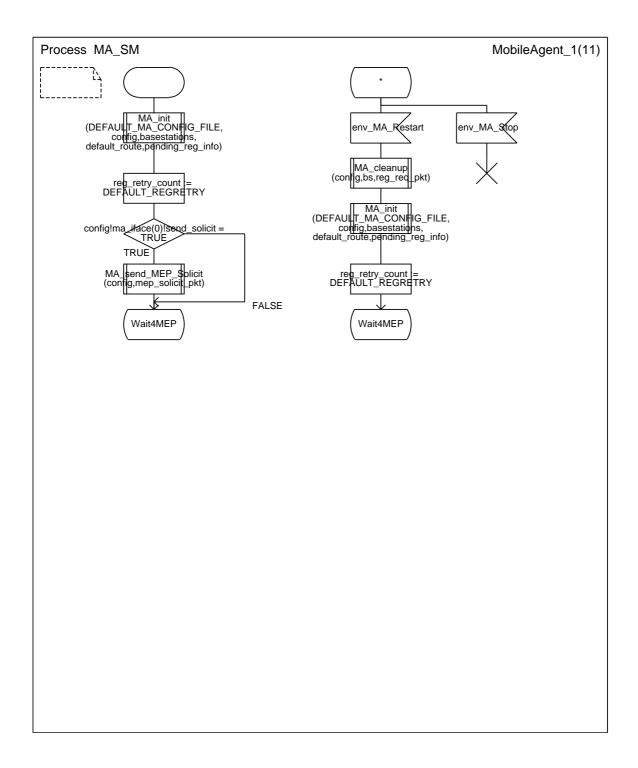


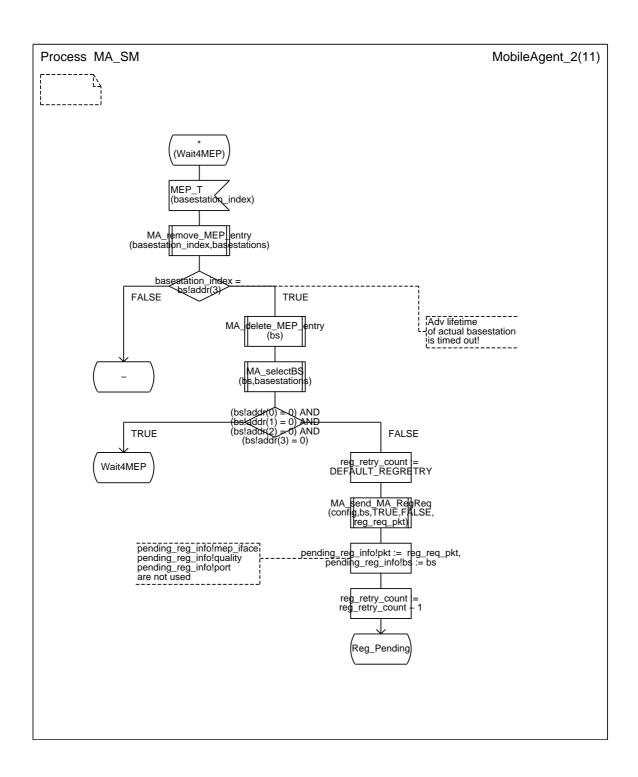


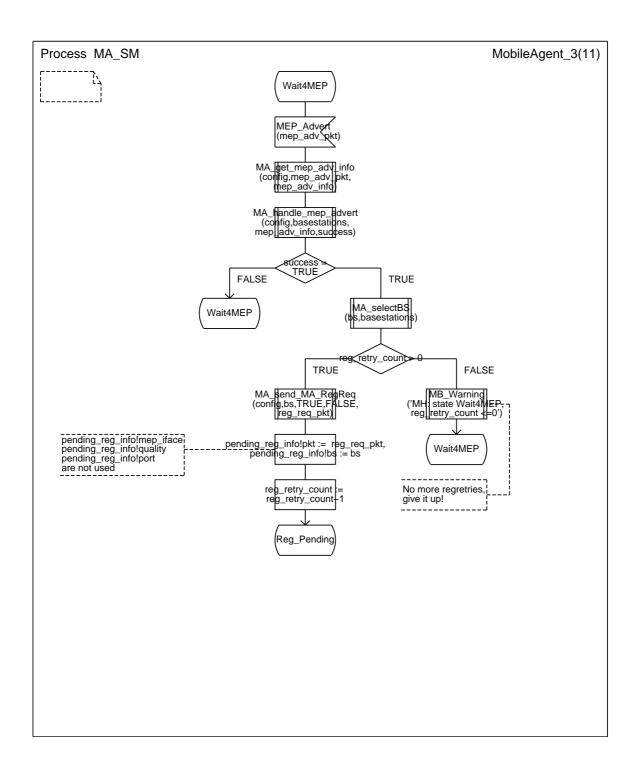


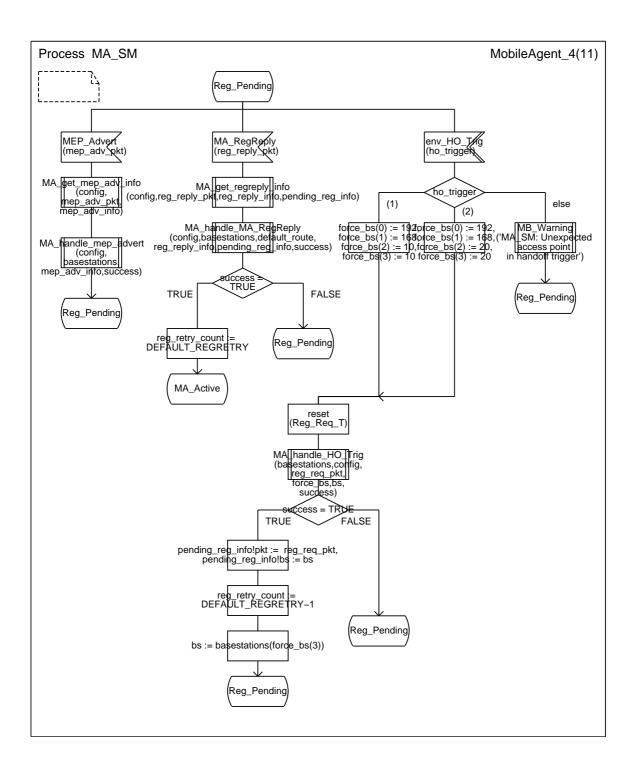
## **5.1** Mobile Agent State Machine

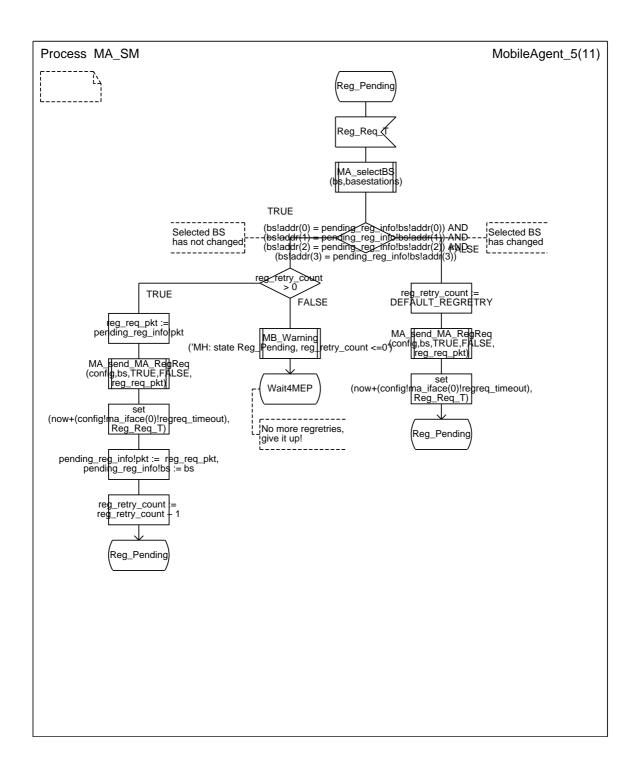


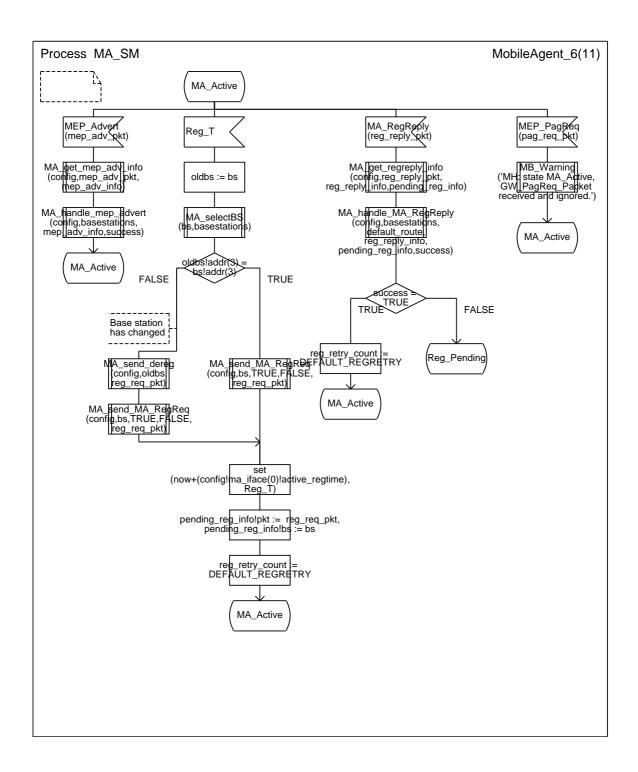


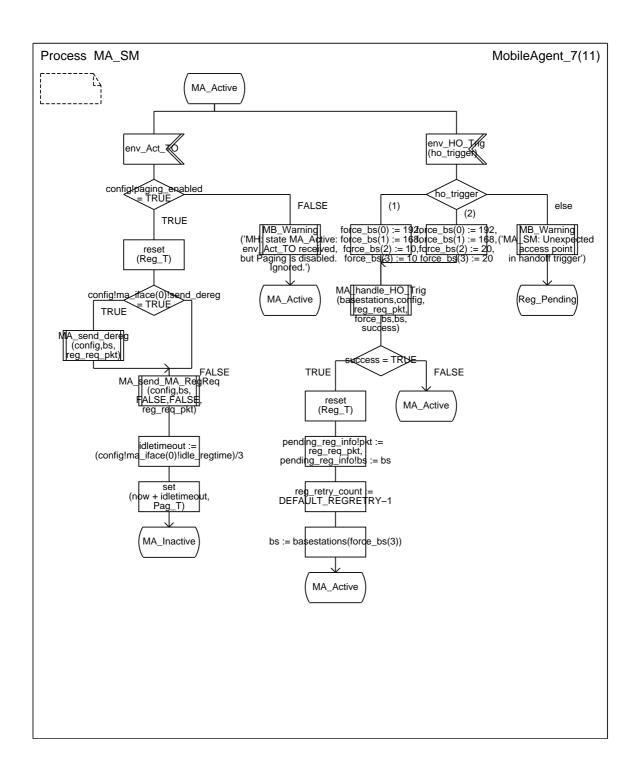


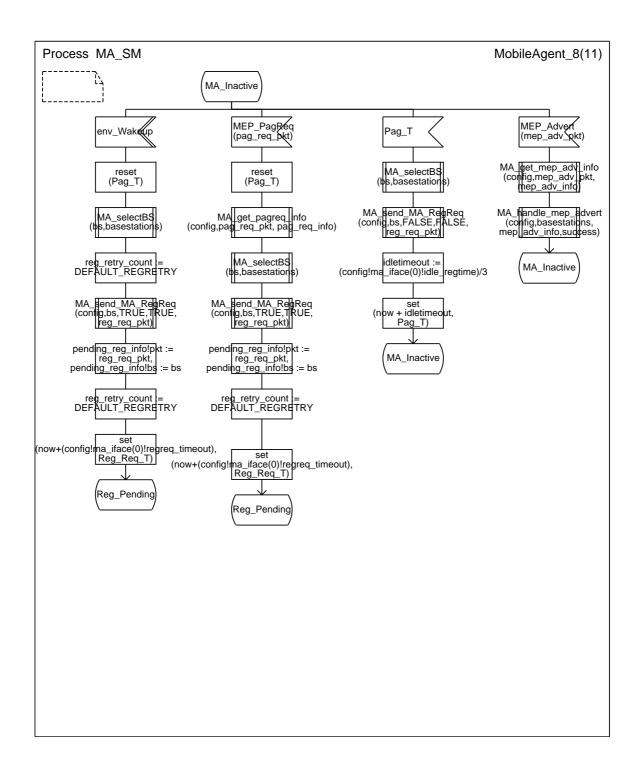


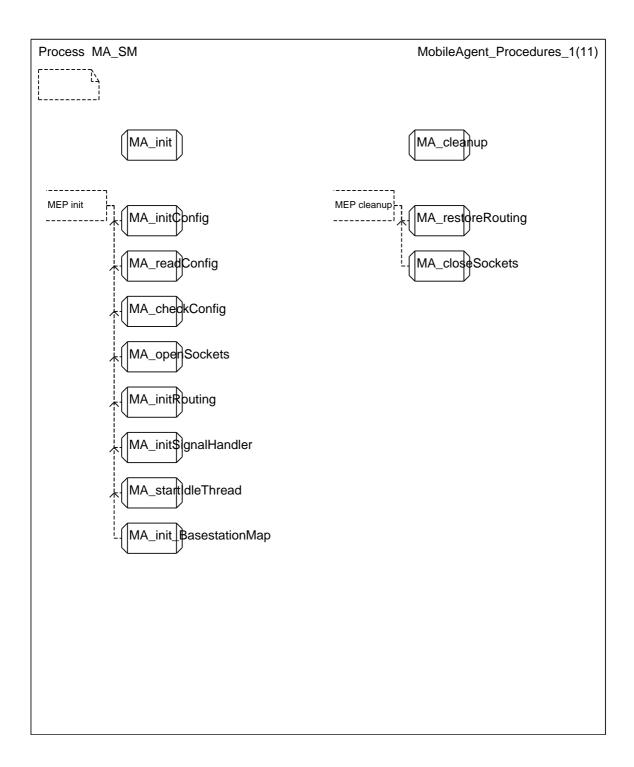


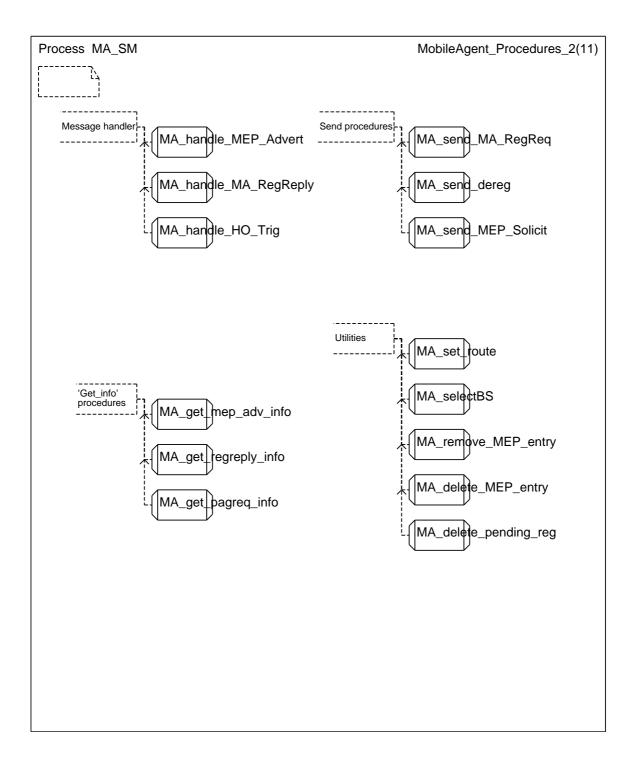




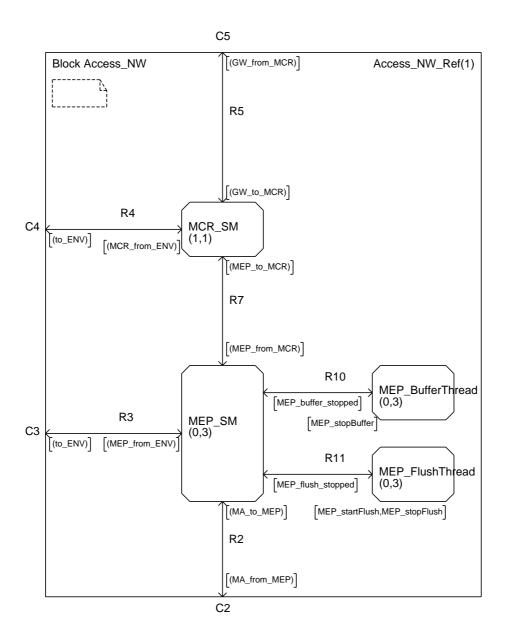




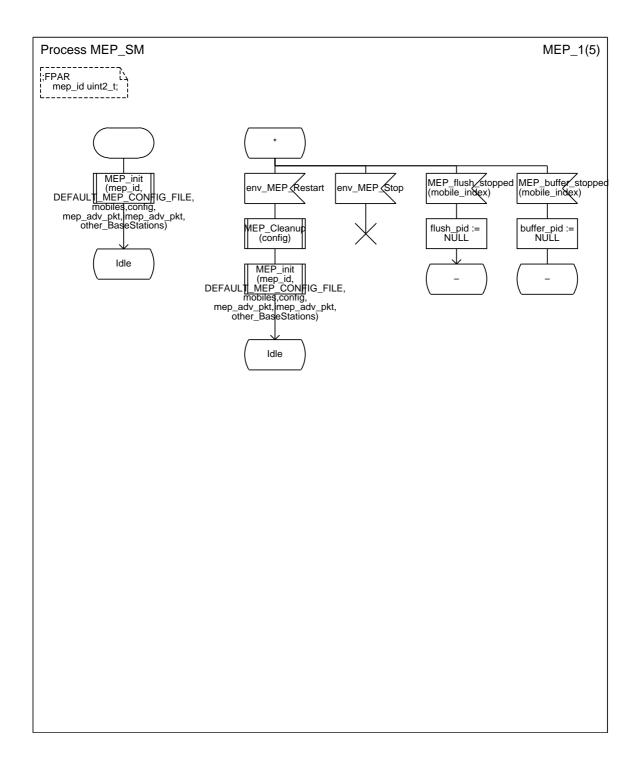


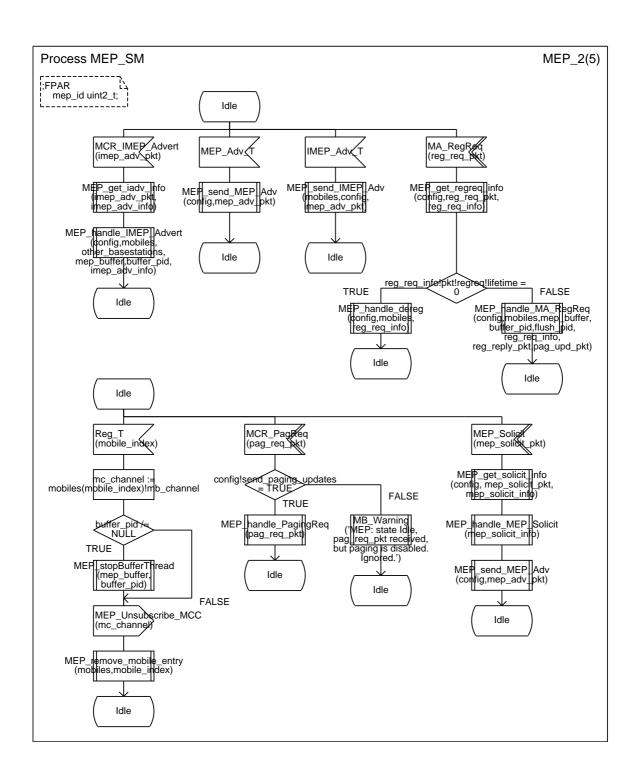


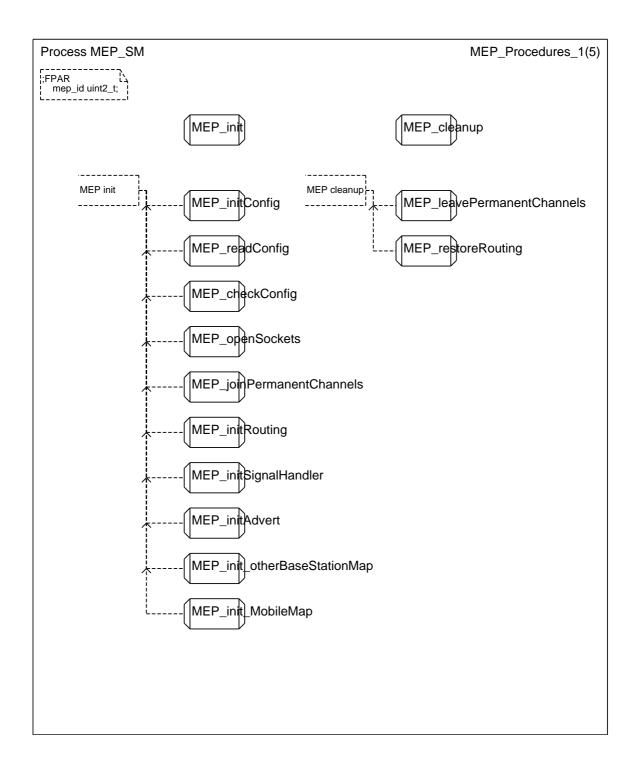
## **5.2** MEP State Machine

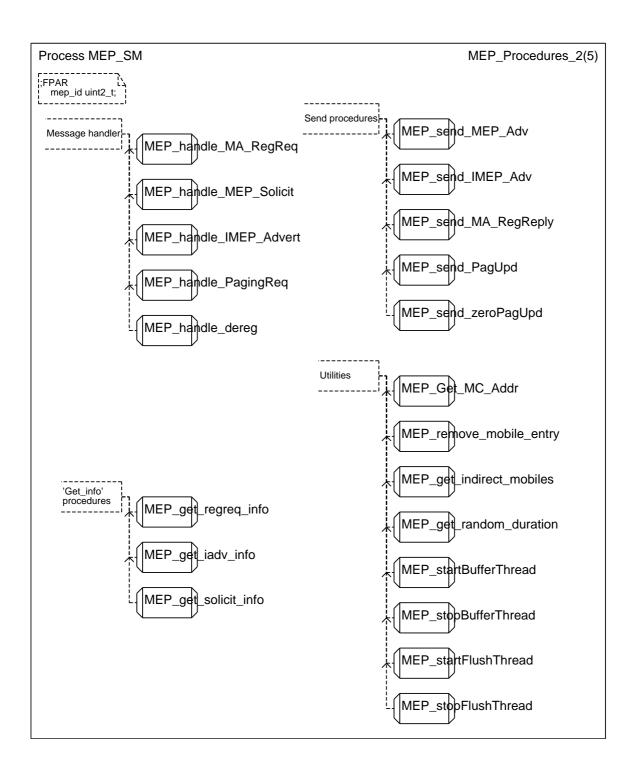


Process MEP\_SM MEP\_DCL(5) ;FPAR mep\_id uint2\_t; DCL config MEP\_Config, mobiles MEP\_MobileMap, other\_basestations MEP\_BaseStationMap, mep\_buffer MB\_Buffer, buffer\_pid PId, flush\_pid PId, reg\_req\_pkt MA\_RegReq\_Packet,
reg\_req\_info MA\_RegReq\_Info,
reg\_reply\_pkt MA\_RegReply\_Packet,
pag\_upd\_pkt MA\_PagUpd\_Packet,
pag\_req\_pkt GW\_PagReq\_Packet,
mep\_adv\_pkt MEP\_Advert\_Packet,
imep\_adv\_pkt IMEP\_Advert\_Info,
mep\_solicit\_pkt MEP\_Solicit\_Packet,
mep\_solicit\_info MEP\_Solicit\_Info, mobile\_index uint32\_t, mc\_channel MB\_MC\_Channel; TIMER MEP\_Adv\_T, IMEP\_Adv\_T, Reg\_T(uint32\_t);

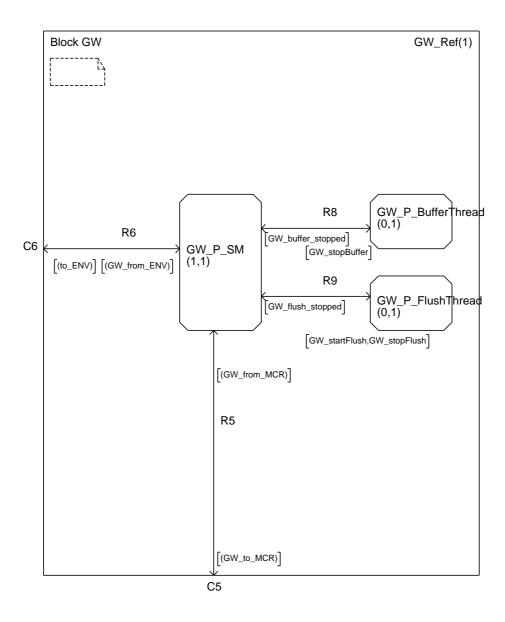


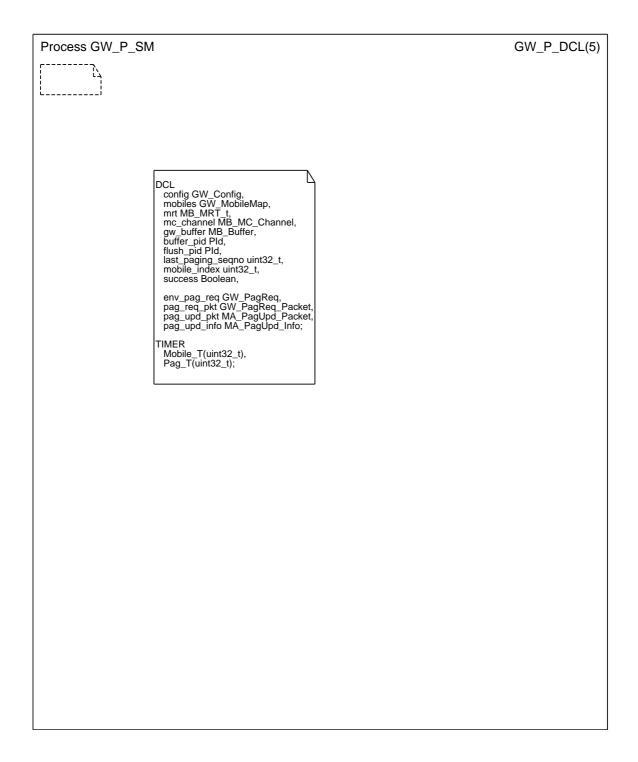


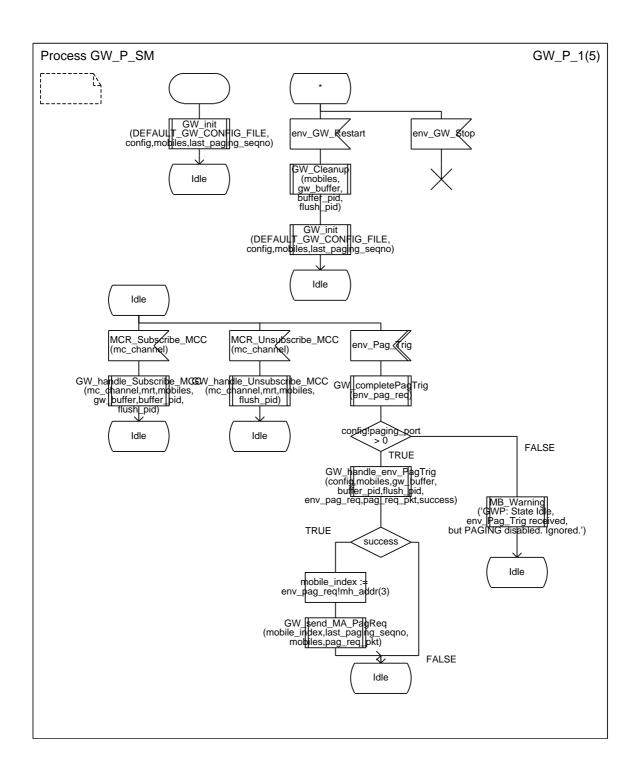


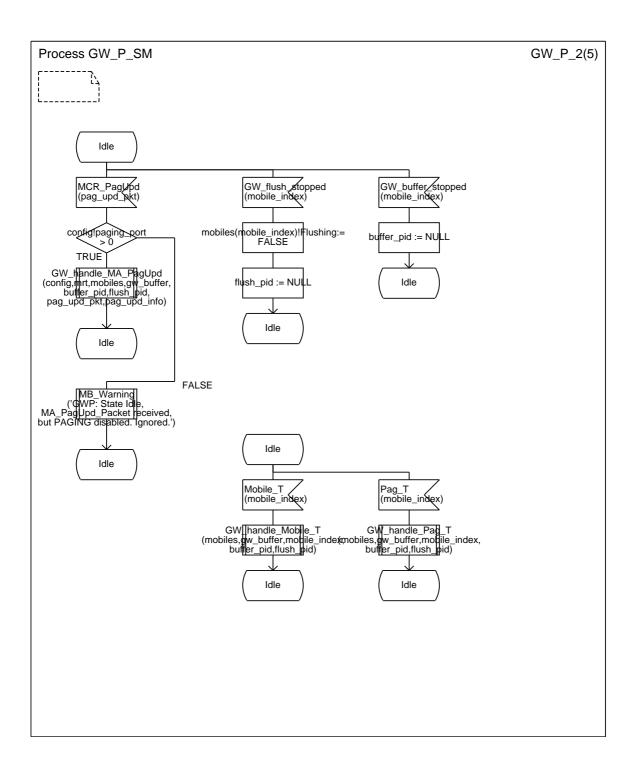


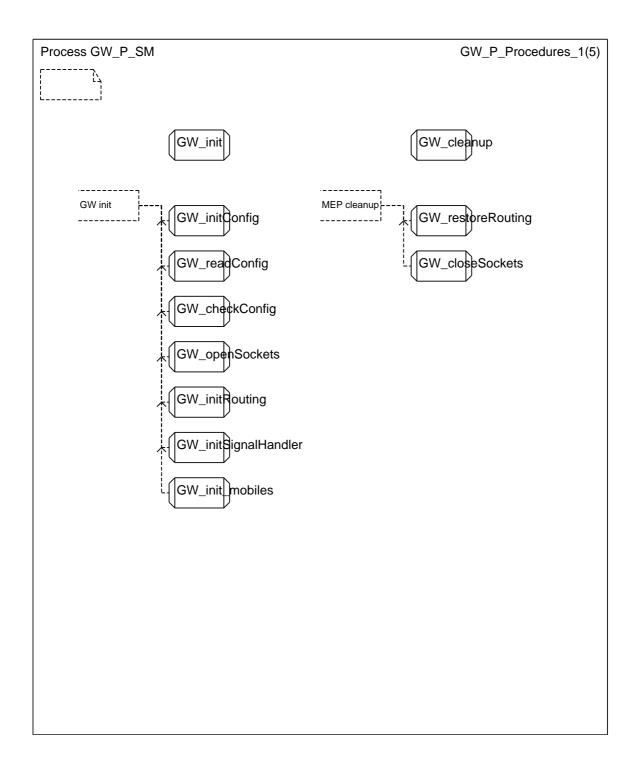
## **5.3** Gateway Proxy State Machine

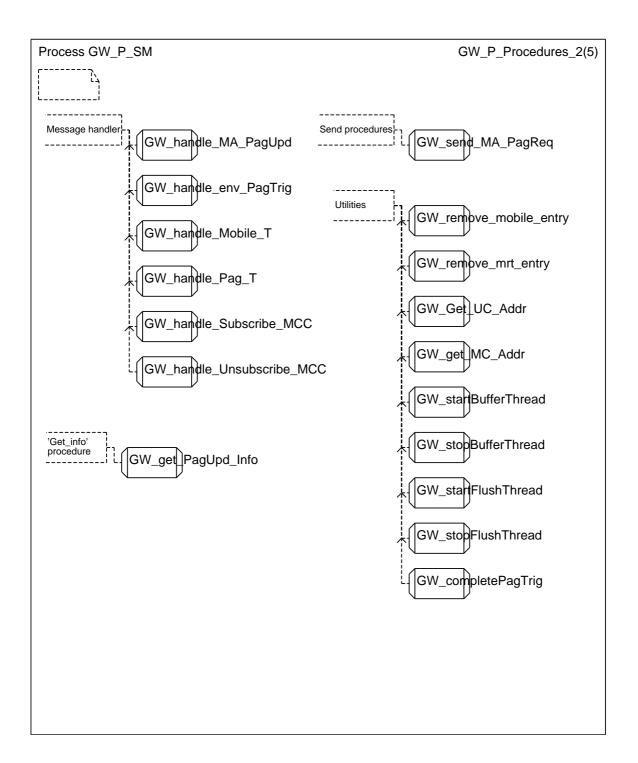


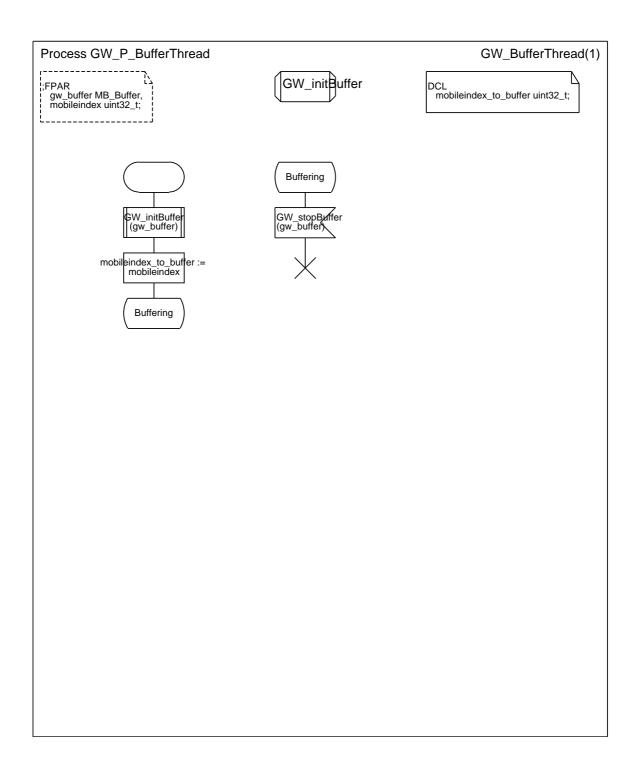


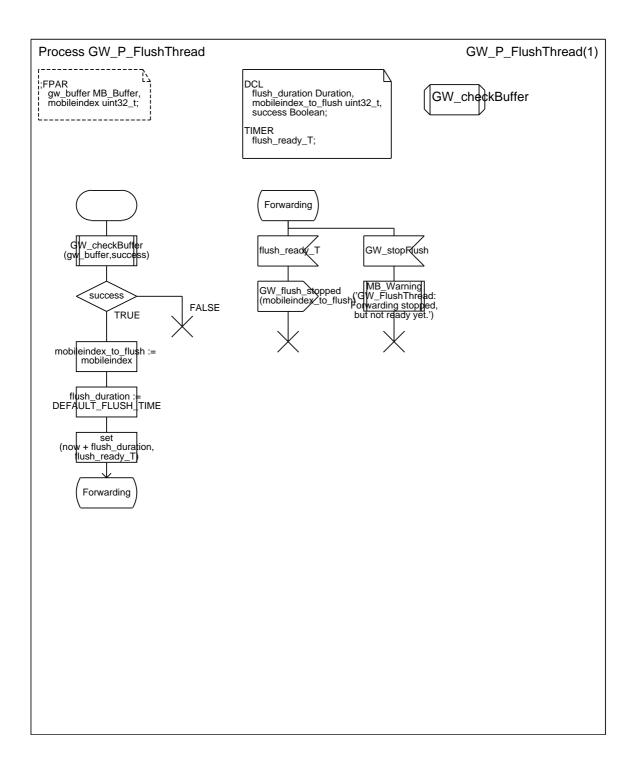




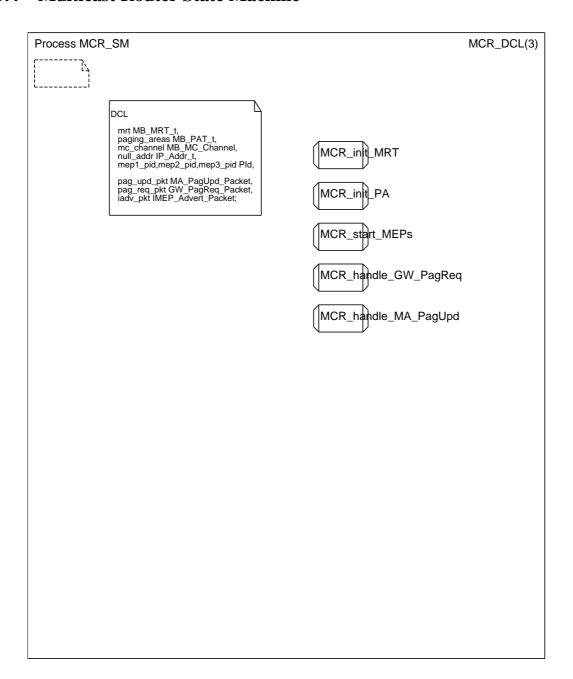


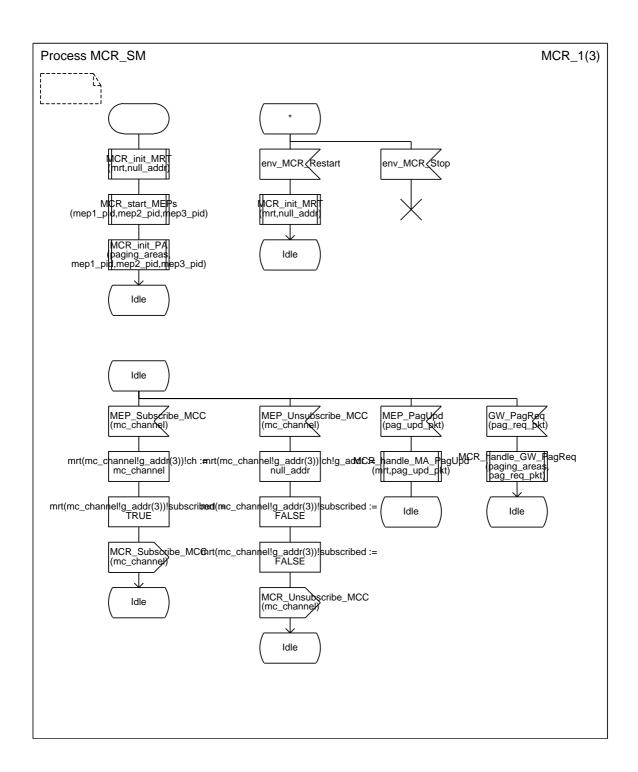


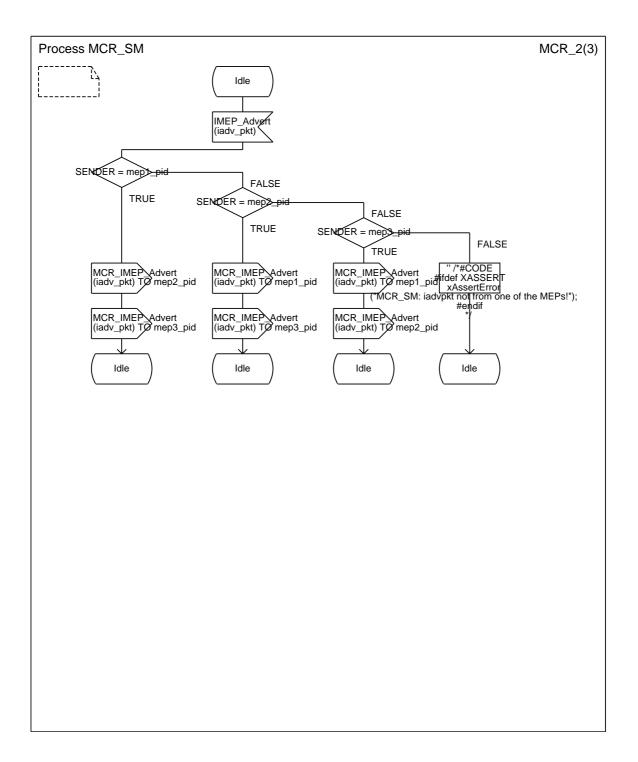




#### **5.4** Multicast Router State Machine







## **Chapter 6**

### **Simulation Trace**

In order to give an intuitive understanding of the system behavior, the following figures show typical protocol operations by means of a simulation trace (*Message Sequence Charts*), i.e.

- 1. Initial registration: The mobile host is in its INIT state and registers with a MEP.
- 2. Activity Timeout: The mobile host goes into the state INACTIVE,
- 3. Wakeup: The mobile host wakes up and goes into state ACTIVE,
- 4. Handover: The mobile host executes a handover,
- 5. Activity Timeout: The mobile host goes into the state,
- 6. Paging: The mobile host is paged by the gateway proxy.

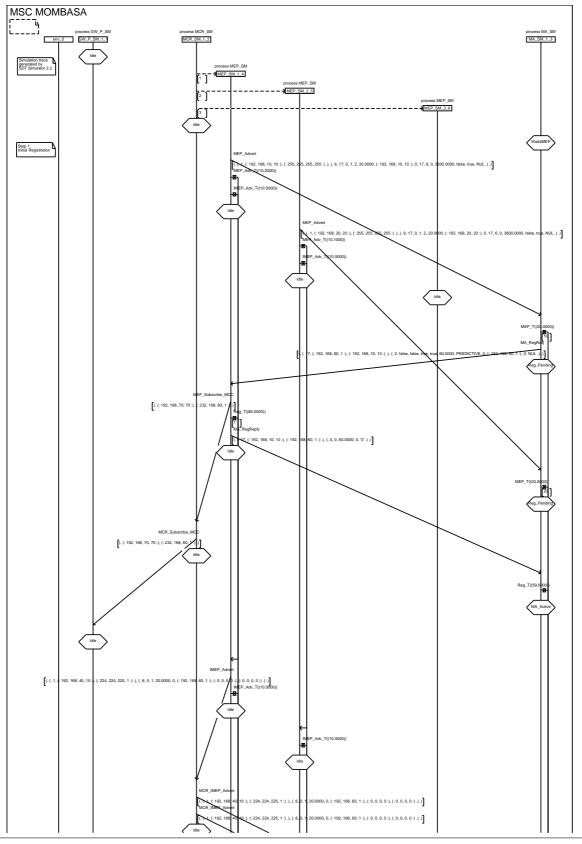
To generate the MSCs, the SDL code was transformed into a number of C source files that are compiled and linked with a SDT runtime library. This process has resulted in an executable program which is used to simulate the system.

In the simulated scenario, the system consists of

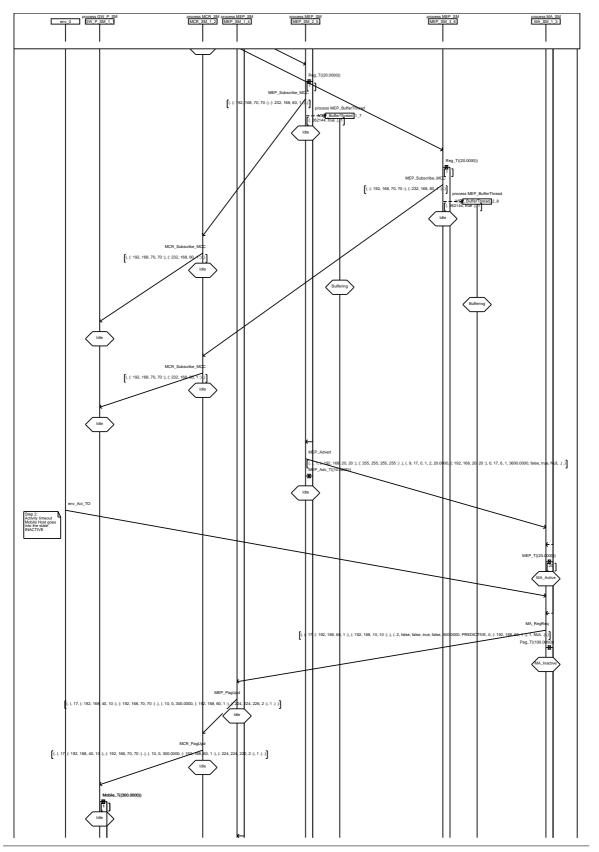
- a single mobile host which executes a mobile agent process,
- an access network, which is composed of three access points executing mobility-enabling proxies (MEPs) and a multicast router which executes a simplified multicast routing demon,
- a gateway proxy (GWP)

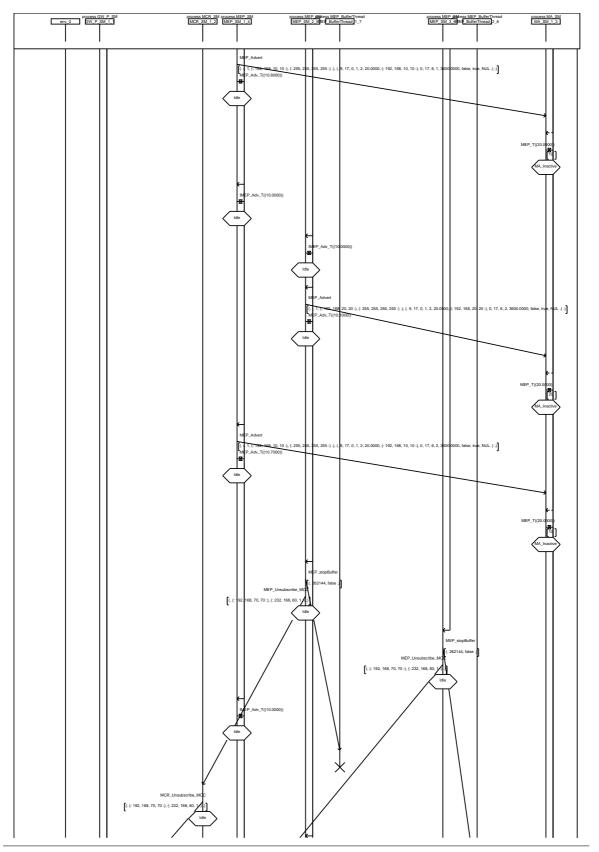
The three MEPs in the access network are configured that only MEP1 and MEP2 are actively involved in handover. MEP3 plays only a passive role, i.e. it does not send any MEP advertisements and IMEP advertisements. To simulate different cases of grouping access points to MEP groups, we differentiate between primary and secondary MEP groups: A MEP sends IMEP advertisements to the multicast channel of the primary MEP group and receives the IMEP advertisements from the multicast channel of the secondary MEP group. Moreover, in the simulated scenario MEP2 and MEP3 form a paging area, where MEP1 is the only access point in another paging area.

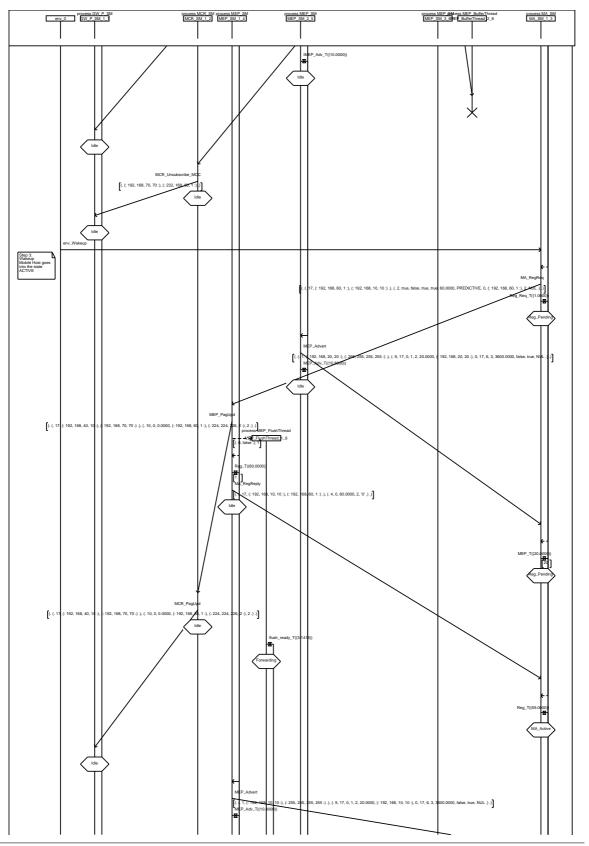
To improve the readability of the MSC, some minor details are not shown, such as IMEP Advertisement, when no mobile host is registered with the particular MEP and subscription to static multicast channels (e.g. MEP groups).



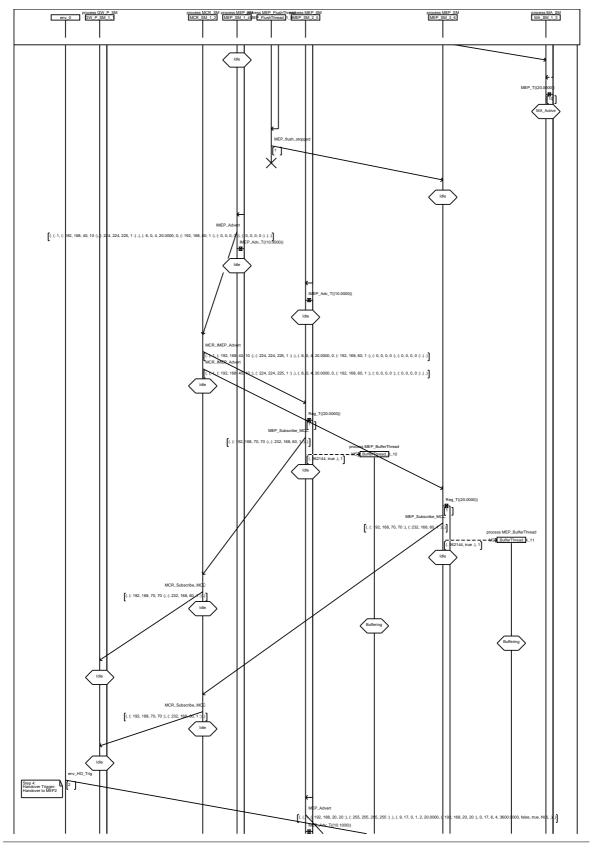
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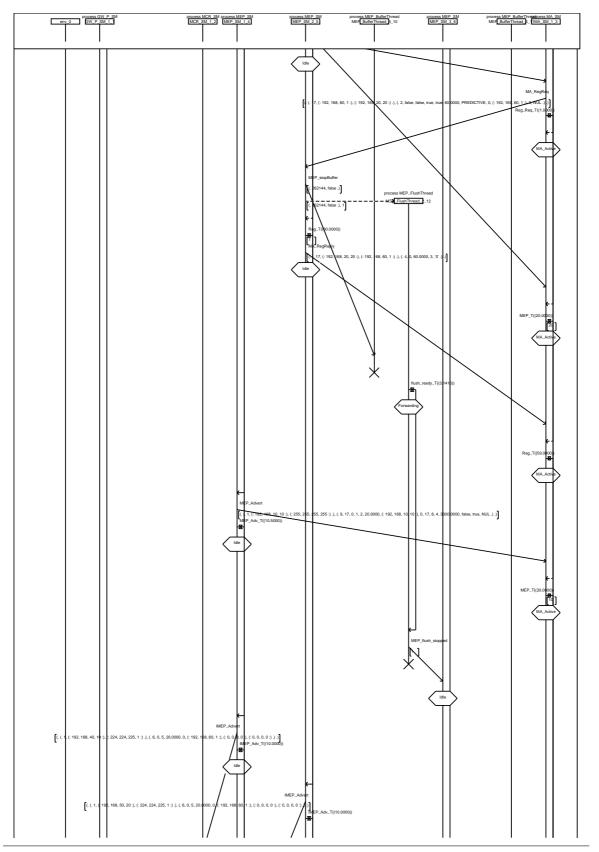


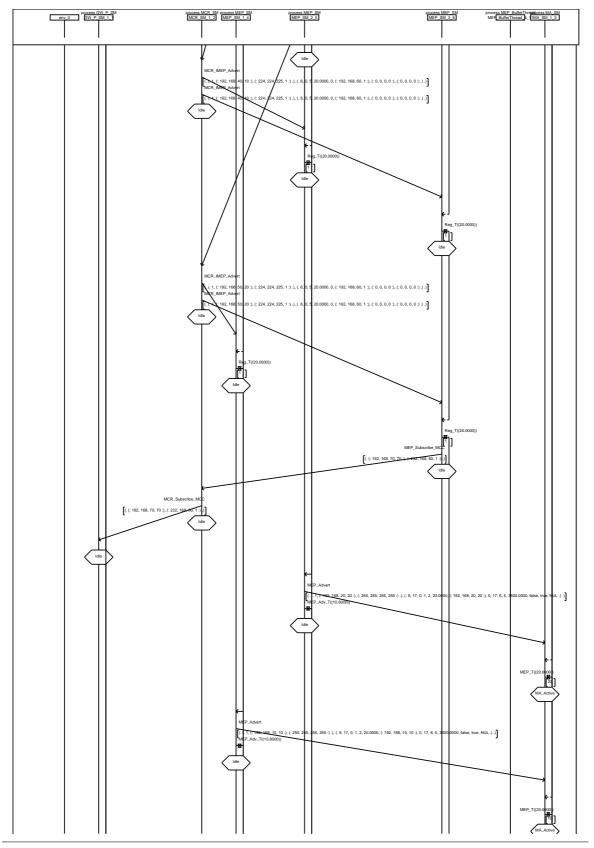
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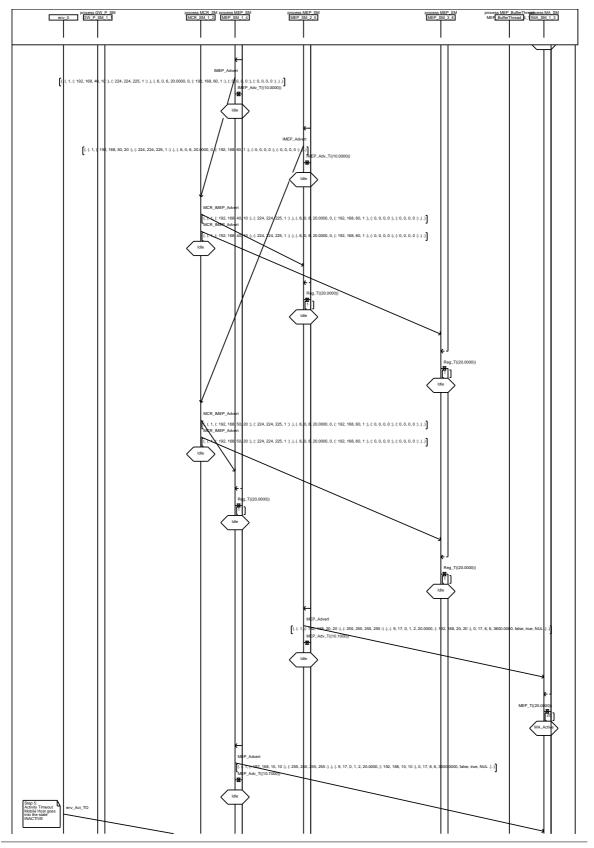


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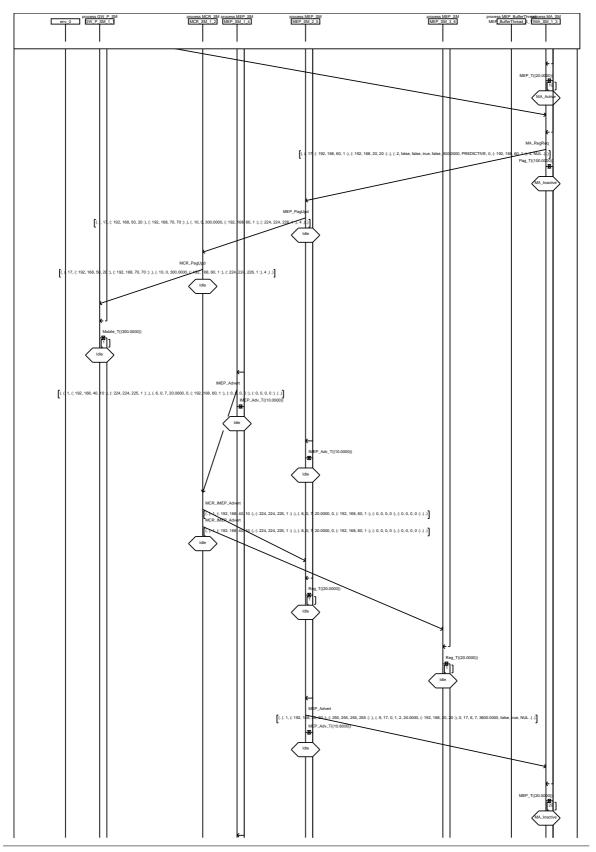
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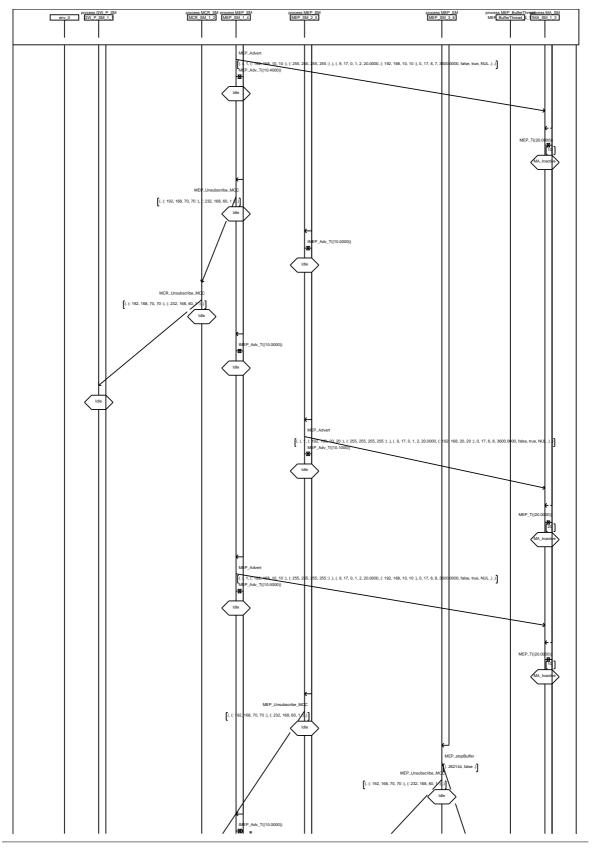


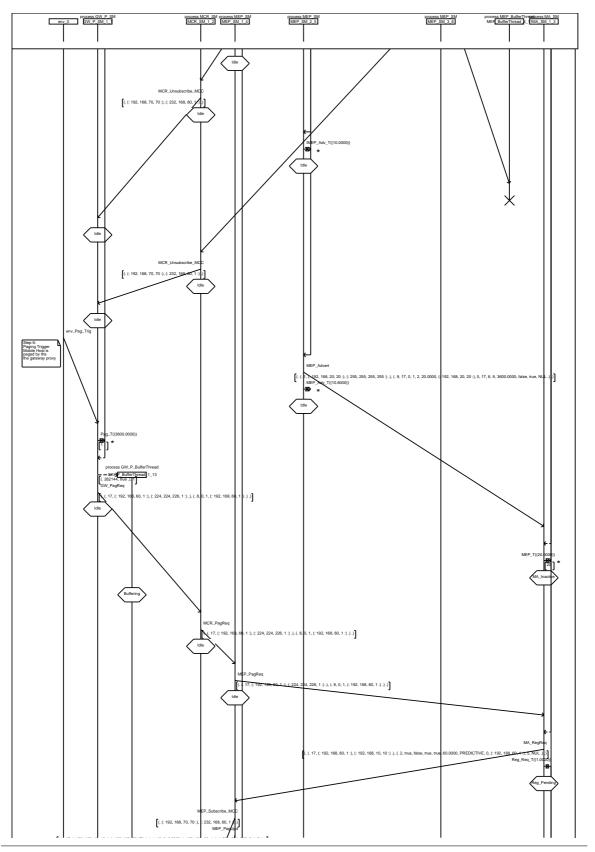




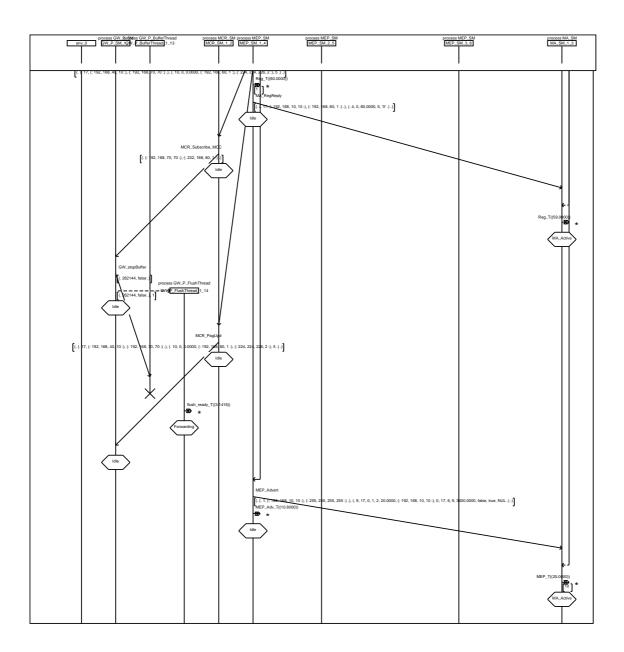
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## **Chapter 7**

# **Acronyms**

AP Access Point

**CH** Correspondent Host

**DR** Designated Router

**GW** Gateway

**GWP** Gateway Proxy

**IGMP** Internet Group Management Protocol

**IMP** Inter-MEP Protocol

**LHP** Last-Hop Protocol

**MEP** Mobility-Enabling Proxy

MFC Multicast Forwarding Cache

MOMBASA MObility support - a Multicast-BAsed Approach

MH Mobile Host

MU Mobile User

MR Multicast Router

MRIB Multicast Routing Information Base

**NAT** Network Address Translation

PA Paging Area

PIM Protocol-Independent Multicast

PIM-DM Protocol-Independent Multicast - Dense Mode

PIM-SSM Protocol-Independent Multicast - Single Source Mode

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**PIM-SM** Protocol-Independent Multicast - Sparse Mode

PPP Point-to-Point Protocol

**RIB** Routing Information Base

**RP** Rendezvous Point